

Week: COMP 2120 / COMP 6120  
10 of 12  
TESTING

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# ANU Acknowledgment of Country



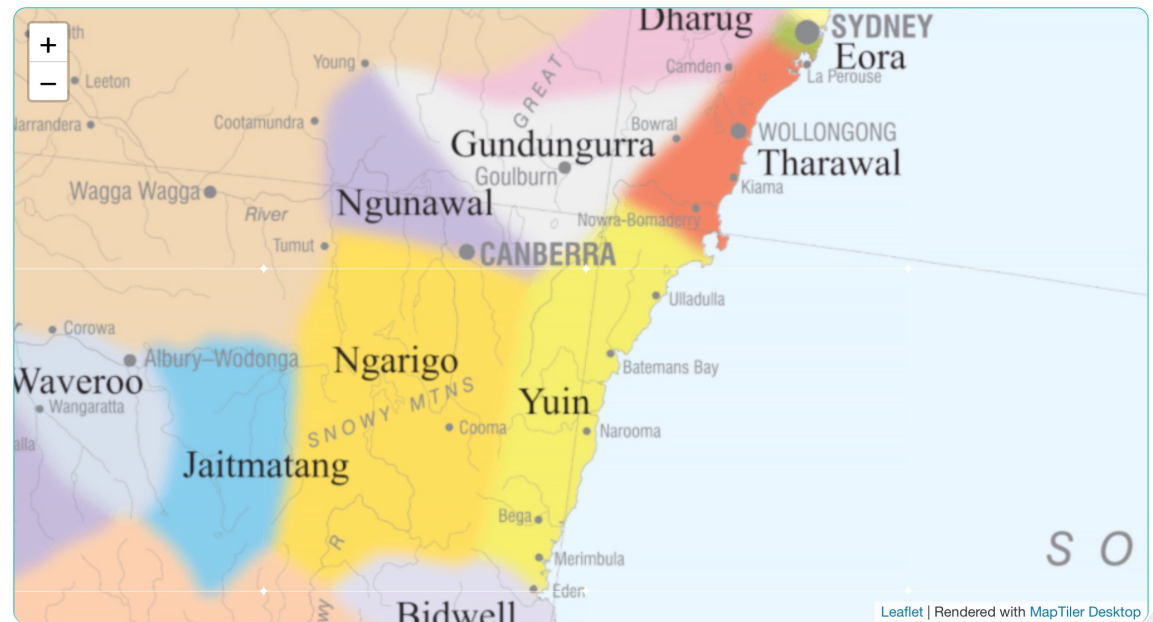
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## The Story of Google Web Server

In Google's early days, engineer-driven testing was often assumed to be of little importance. Teams regularly relied on smart people to get the software right. A few systems ran large integration tests, but mostly it was the Wild West. One product in particular seemed to suffer the worst: it was called the Google Web Server, also known as GWS.

GWS is the web server responsible for serving Google Search queries and is as important to Google Search as air traffic control is to an airport. Back in 2005, as the project swelled in size and complexity, productivity had slowed dramatically. Releases were becoming buggier, and it was taking longer and longer to push them out. Team members had little confidence when making changes to the service, and often found out something was wrong only when features stopped working in production. (At one point, more than 80% of production pushes contained user-affecting bugs that had to be rolled back.)

To address these problems, the tech lead (TL) of GWS decided to institute a policy of engineer-driven, automated testing. As part of this policy, all new code changes were required to include tests, and those tests would be run continuously. Within a year of instituting this policy, the number of emergency pushes *dropped by half*. This drop occurred despite the fact that the project was seeing a record number of new changes every quarter. Even in the face of unprecedented growth and change, testing brought renewed productivity and confidence to one of the most critical projects at Google. Today, GWS has tens of thousands of tests, and releases almost every day with relatively few customer-visible failures.

The changes in GWS marked a watershed for testing culture at Google as teams in other parts of the company saw the benefits of testing and moved to adopt similar tactics.



# Software testing



- Software testing is a process in which you execute your program using data that simulates user inputs.
- You observe its behaviour to see whether or not your program is doing what it is supposed to do.
  - Tests pass if the behaviour is what you expect. Tests fail if the behaviour differs from that expected.
  - If your program does what you expect, this shows that for the inputs used, the program behaves correctly.
- If these inputs are representative of a larger set of inputs, you can infer that the program will behave correctly for all members of this larger input set.





# Program bugs



- If the behaviour of the program does not match the behaviour that you expect, then this means that there are bugs in your program that need to be fixed.
- There are two causes of program bugs:
  - **Programming errors** You have accidentally included faults in your program code. For example, a common programming error is an 'off-by-1' error where you make a mistake with the upper bound of a sequence and fail to process the last element in that sequence.
  - **Understanding errors** You have misunderstood or have been unaware of some of the details of what the program is supposed to do. For example, if your program processes data from a file, you may not be aware that some of this data is in the wrong format, so your program doesn't include code to handle this.



# Types of testing



- **Functional testing**  
Test the functionality of the overall system. The goals of functional testing are to discover as many bugs as possible in the implementation of the system and to provide convincing evidence that the system is fit for its intended purpose.
- **User testing**  
Test that the software product is useful to and usable by end-users. You need to show that the features of the system help users do what they want to do with the software. You should also show that users understand how to access the software's features and can use these features effectively.
- **Performance and load testing**  
Test that the software works quickly and can handle the expected load placed on the system by its users. You need to show that the response and processing time of your system is acceptable to end-users. You also need to demonstrate that your system can handle different loads and scales gracefully as the load on the software increases.
- **Security testing**  
Test that the software maintains its integrity and can protect user information from theft and damage.



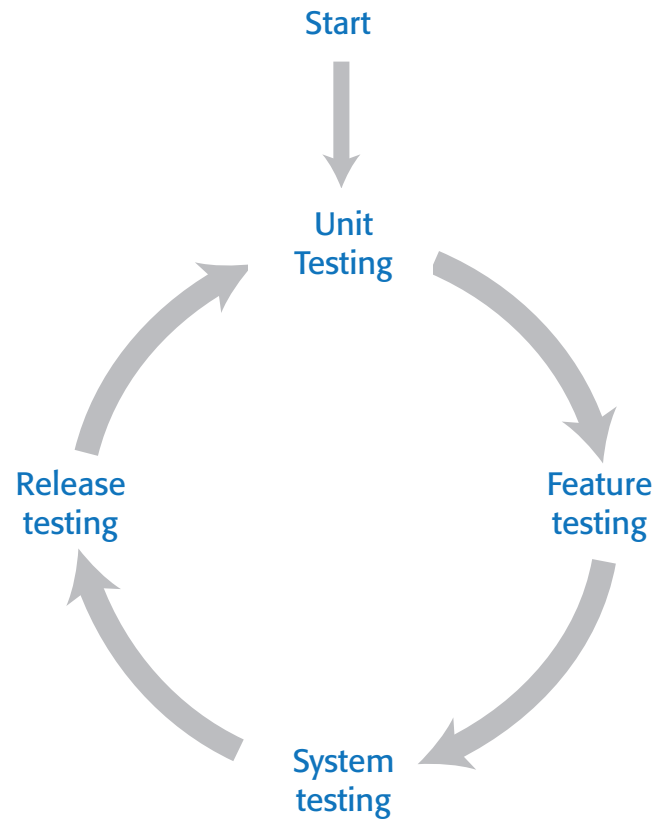
# Functional testing



- Functional testing involves developing a large set of program tests so that, ideally, all of a program's code is executed at least once.
- The number of tests needed obviously depends on the size and the functionality of the application.
- For a business-focused web application, you may have to develop thousands of tests to convince yourself that your product is ready for release to customers.
- Functional testing is a staged activity in which you initially test individual units of code. You integrate code units with other units to create larger units then do more testing.
- The process continues until you have created a complete system ready for release.



# Functional testing



# Functional testing processes



- **Unit testing**  
The aim of unit testing is to test program units in isolation. Tests should be designed to execute all of the code in a unit at least once. Individual code units are tested by the programmer as they are developed.
- **Feature testing**  
Code units are integrated to create features. Feature tests should test all aspects of a feature. All of the programmers who contribute code units to a feature should be involved in its testing.
- **System testing**  
Code units are integrated to create a working (perhaps incomplete) version of a system. The aim of system testing is to check that there are no unexpected interactions between the features in the system. System testing may also involve checking the responsiveness, reliability and security of the system. In large companies, a dedicated testing team may be responsible for system testing. In small companies, this is impractical, so product developers are also involved in system testing.
- **Release testing**  
The system is packaged for release to customers and the release is tested to check that it operates as expected. The software may be released as a cloud service or as a download to be installed on a customer's computer or mobile device. If DevOps is used, then the development team are responsible for release testing otherwise a separate team has that responsibility.



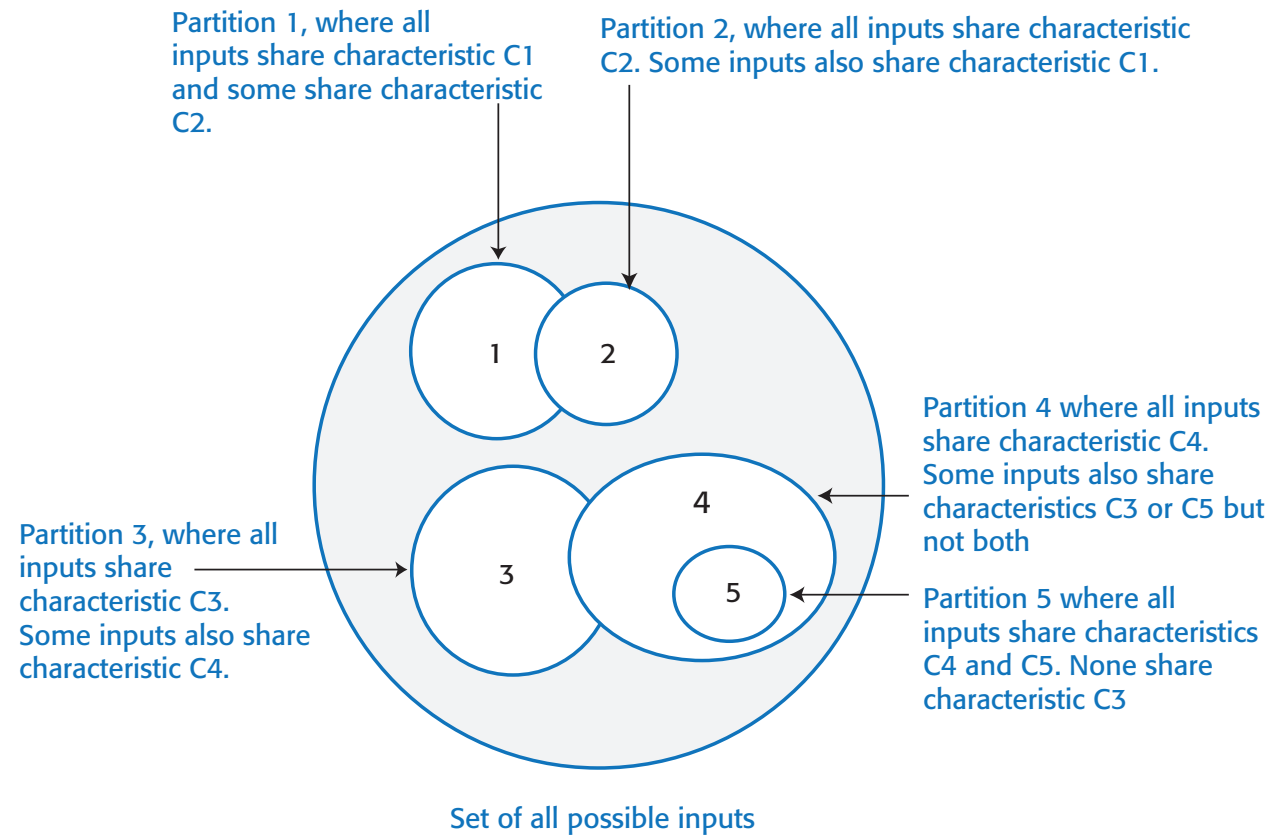
# Unit testing



- As you develop a code unit, you should also develop tests for that code.
- A code unit is anything that has a clearly defined responsibility. It is usually a function or class method but could be a module that includes a small number of other functions.
- Unit testing is based on a simple general principle:
  - If a program unit behaves as expected for a set of inputs that have some shared characteristics, it will behave in the same way for a larger set whose members share these characteristics.
- To test a program efficiently, you should identify sets of inputs (equivalence partitions) that will be treated in the same way in your code.
- The equivalence partitions that you identify should not just include those containing inputs that produce the correct values. You should also identify ‘incorrectness partitions’ where the inputs are deliberately incorrect.



# Equivalence partitions





# A name checking function



```
def namecheck (s):
```

```
# Checks that a name only includes alphabetic characters, - or
# a single quote. Names must be between 2 and 40 characters long
# quoted strings and -- are disallowed
```

```
namex = r"^[a-zA-Z][a-zA-Z-']{1,39}$"
```

```
if re.match (namex, s):
```

```
    if re.search ("'.*'", s) or re.search ("--", s):
```

```
        return False
```

```
    else:
```

```
        return True
```

```
else:
```

```
    return False
```



# Equivalence partitions for the name checking function

- **Correct names 1**  
The inputs only includes alphabetic characters and are between 2 and 40 characters long.
- **Correct names 2**  
The inputs only includes alphabetic characters, hyphens or apostrophes and are between 2 and 40 characters long.
- **Incorrect names 1**  
The inputs are between 2 and 40 characters long but include disallowed characters.
- **Incorrect names 2**  
The inputs include allowed characters but are either a single character or are more than 40 characters long.
- **Incorrect names 3**  
The inputs are between 2 and 40 characters long but the first character is a hyphen or an apostrophe.
- **Incorrect names 4**  
The inputs include valid characters, are between 2 and 40 characters long, but include either a double hyphen, quoted text or both.



# Unit testing guidelines (1)



- ***Test edge cases***  
If your partition has upper and lower bounds (e.g. length of strings, numbers, etc.) choose inputs at the edges of the range.
- ***Force errors***  
Choose test inputs that force the system to generate all error messages. Choose test inputs that should generate invalid outputs.
- ***Fill buffers***  
Choose test inputs that cause all input buffers to overflow.
- ***Repeat yourself***  
Repeat the same test input or series of inputs several times.



# Unit testing guidelines (2)



- ***Overflow and underflow***

If your program does numeric calculations, choose test inputs that cause it to calculate very large or very small numbers.

- ***Don't forget null and zero***

If your program uses pointers or strings, always test with null pointers and strings. If you use sequences, test with an empty sequence. For numeric inputs, always test with zero.

- ***Keep count***

When dealing with lists and list transformation, keep count of the number of elements in each list and check that these are consistent after each transformation.

- ***One is different***

If your program deals with sequences, always test with sequences that have a single value.



# But, remember...

## The Importance of Maintainability

Imagine this scenario: Mary wants to add a simple new feature to the product and is able to implement it quickly, perhaps requiring only a couple dozen lines of code. But when she goes to check in her change, she gets a screen full of errors back from the automated testing system. She spends the rest of the day going through those failures one by one. In each case, the change introduced no actual bug, but broke some of the assumptions that the test made about the internal structure of the code, requiring those tests to be updated. Often, she has difficulty figuring out what the tests were trying to do in the first place, and the hacks she adds to fix them make those tests even more difficult to understand in the future. Ultimately, what should have been a quick job ends up taking hours or even days of busywork, killing Mary's productivity and sapping her morale.

Here, testing had the opposite of its intended effect by draining productivity rather than improving it while not meaningfully increasing the quality of the code under test. This scenario is far too common, and Google engineers struggle with it every day. There's no magic bullet, but many engineers at Google have been working to develop sets of patterns and practices to alleviate these problems, which we encourage the rest of the company to follow.



# Feature testing



- Features have to be tested to show that the functionality is implemented as expected and that the functionality meets the real needs of users.
  - For example, if your product has a feature that allows users to login using their Google account, then you have to check that this registers the user correctly and informs them of what information will be shared with Google.
  - You may want to check that it gives users the option to sign up for email information about your product.
- Normally, a feature that does several things is implemented by multiple, interacting, program units.
- These units may be implemented by different developers and all of these developers should be involved in the feature testing process.



# Types of feature test



## • Interaction tests

- These test the interactions between the units that implement the feature. The developers of the units that are combined to make up the feature may have different understandings of what is required of that feature.
- These misunderstandings will not show up in unit tests but may only come to light when the units are integrated.
- The integration may also reveal bugs in program units, which were not exposed by unit testing.

## • Usefulness tests

- These test that the feature implements what users are likely to want.
- For example, the developers of a login with Google feature may have implemented an opt-out default on registration so that users receive all emails from a company. They must expressly choose what type of emails that they don't want.
- What might be preferred is an opt-in default so that users choose what types of email they do want to receive.





# User stories for the sign-in with Google feature



- ***User registration***

As a user, I want to be able to login without creating a new account so that I don't have to remember another login id and password.

- ***Information sharing***

As a user, I want to know what information you will share with other companies. I want to be able to cancel my registration if I don't want to share this information.

- ***Email choice***

As a user, I want to be able to choose the types of email that I'll get from you when I register for an account.



# Feature tests for sign-in with Google



- ***Initial login screen***

Test that the screen displaying a request for Google account credentials is correctly displayed when a user clicks on the 'Sign-in with Google' link. Test that the login is completed if the user is already logged in to Google.

- ***Incorrect credentials***

Test that the error message and retry screen is displayed if the user inputs incorrect Google credentials.

- ***Shared information***

Test that the information shared with Google is displayed, along with a cancel or confirm option. Test that the registration is cancelled if the cancel option is chosen.

- ***Email opt-in***

Test that the user is offered a menu of options for email information and can choose multiple items to opt-in to emails. Test that the user is not registered for any emails if no options are selected.



# System and release testing



- System testing involves testing the system as a whole, rather than the individual system features.
- System testing should focus on four things:
  - Testing to discover if there are unexpected and unwanted interactions between the features in a system.
  - Testing to discover if the system features work together effectively to support what users really want to do with the system.
  - Testing the system to make sure it operates in the expected way in the different environments where it will be used.
  - Testing the responsiveness, throughput, security and other quality attributes of the system.



# Scenario-based testing



- The best way to systematically test a system is to start with a set of scenarios that describe possible uses of the system and then work through these scenarios each time a new version of the system is created.
- Using the scenario, you identify a set of end-to-end pathways that users might follow when using the system.
- An end-to-end pathway is a sequence of actions from starting to use the system for the task, through to completion of the task.



# Choosing a holiday destination



- Andrew and Maria have a two year old son and a four month old daughter. They live in Scotland and they want to have a holiday in the sunshine. However, they are concerned about the hassle of flying with young children. They decide to try a family holiday planner product to help them choose a destination that is easy to get to and that fits in with their childrens' routines.
- Maria navigates to the holiday planner website and selects the 'find a destination' page. This presents a screen with a number of options. She can choose a specific destination or can choose a departure airport and find all destinations that have direct flights from that airport. She can also input the time band that she'd prefer for flights, holiday dates and a maximum cost per person.
- Edinburgh is their closest departure airport. She chooses 'find direct flights'. The system then presents a list of countries that have direct flights from Edinburgh and the days when these flights operate. She selects France, Italy, Portugal and Spain and requests further information about these flights. She then sets a filter to display flights that leave on a Saturday or Sunday after 7.30am and arrive before 6pm.
- She also sets the maximum acceptable cost for a flight. The list of flights is pruned according to the filter and is redisplayed. Maria then clicks on the flight she wants. This opens a tab in her browser showing a booking form for this flight on the airline's website.



# End-to-end pathways



1. User inputs departure airport and chooses to see only direct flights. User quits.
2. User inputs departure airport and chooses to see all flights. User quits.
3. User chooses destination country and chooses to see all flights. User quits.
4. User inputs departure airport and chooses to see direct flights. User sets filter specifying departure times and prices. User quits.
5. User inputs departure airport and chooses to see direct flights. User sets filter specifying departure times and prices. User selects a displayed flight and clicks through to airline website. User returns to holiday planner after booking flight.



# Release testing



- Release testing is a type of system testing where a system that's intended for release to customers is tested.
- The fundamental differences between release testing and system testing are:
  - Release testing tests the system in its real operational environment rather than in a test environment. Problems commonly arise with real user data, which is sometimes more complex and less reliable than test data.
  - The aim of release testing is to decide if the system is good enough to release, not to detect bugs in the system. Therefore, some tests that 'fail' may be ignored if these have minimal consequences for most users.
- Preparing a system for release involves packaging that system for deployment (e.g. in a container if it is a cloud service) and installing software and libraries that are used by your product. You must define configuration parameters such as the name of a root directory, the database size limit per user and so on.





# Test automation

- Automated testing is based on the idea that tests should be executable.
- An executable test includes the input data to the unit that is being tested, the expected result and a check that the unit returns the expected result.
- You run the test and the test passes if the unit returns the expected result.
- Normally, you should develop hundreds or thousands of executable tests for a software product.



# Test methods for an interest calculator

```
# TestInterestCalculator inherits attributes and  
methods from the class
```

```
# TestCase in the testing framework unittest
```

```
class TestInterestCalculator (unittest.TestCase):
```

```
    # Define a set of unit tests where each test tests  
    one thing only
```

```
    # Tests should start with test_ and the name should  
    explain what is being tested
```

```
    def test_zeroprincipal (self):
```

```
        #Arrange - set up the test parameters
```

```
        p = 0; r = 3; n = 31
```

```
        result_should_be = 0
```

```
        #Action - Call the method to be tested
```

```
        interest = interest_calculator (p, r, n)
```

```
        #Assert - test what should be true
```

```
        self.assertEqual (result_should_be, interest)
```

```
    def test_yearly_interest (self):
```

```
        #Arrange - set up the test parameters
```

```
        p = 17000; r = 3; n = 365
```

```
        #Action - Call the method to be tested
```

```
        result_should_be = 270.36
```

```
        interest = interest_calculator (p, r, n)
```

```
        #Assert - test what should be true
```

```
        self.assertEqual (result_should_be, interest)
```



# Automated tests



- It is good practice to structure automated tests into three parts:
  - **Arrange** You set up the system to run the test. This involves defining the test parameters and, if necessary, mock objects that emulate the functionality of code that has not yet been developed.
  - **Action** You call the unit that is being tested with the test parameters.
  - **Assert** You make an assertion about what should hold if the unit being tested has executed successfully. In program on the previous slide, we use assertEquals, which checks if its parameters are equal.
- If you use equivalence partitions to identify test inputs, you should have several automated tests based on correct and incorrect inputs from each partition.



# Executable tests for the namecheck function

```
import unittest
from RE_checker import namecheck

class TestNameCheck (unittest.TestCase):

    def test_alphaname (self):
        self.assertTrue (namecheck ('Sommerville'))

    def test_doublequote (self):
        self.assertFalse (namecheck ("Thisis'maliciouscode'))

    def test_namestartswithhyphen (self):
        self.assertFalse (namecheck ('-Sommerville'))

    def test_namestartswithquote (self):
        self.assertFalse (namecheck ("'Reilly"))
```

```
def test_nametoolong (self):
    self.assertFalse (namecheck
('Thisisalongstringwithmorethan40charactersfrombeginningtoend'))

def test_nametooshort (self):
    self.assertFalse (namecheck ('S'))

def test_namewithdigit (self):
    self.assertFalse (namecheck('C-3PO'))

def test_namewithdoublehyphen (self):
    self.assertFalse (namecheck ('--badcode'))
```



# Executable tests for the namecheck function

```
def test_namewithhyphen (self):
    self.assertTrue (namecheck ('Washington-Wilson'))

def test_namewithinvalidchar (self):
    self.assertFalse (namecheck('Sommer_ville'))

def test_namewithquote (self):
    self.assertTrue (namecheck ("O'Reilly"))

def test_namewithspaces (self):
    self.assertFalse (namecheck ('Washington Wilson'))

def test_shortname (self):
    self.assertTrue ('Sx')

def test_thiswillfail (self):
    self.assertTrue (namecheck ("O Reilly"))
```



# Code to run unit tests from files

```
import unittest

loader = unittest.TestLoader()

#Find the test files in the current directory

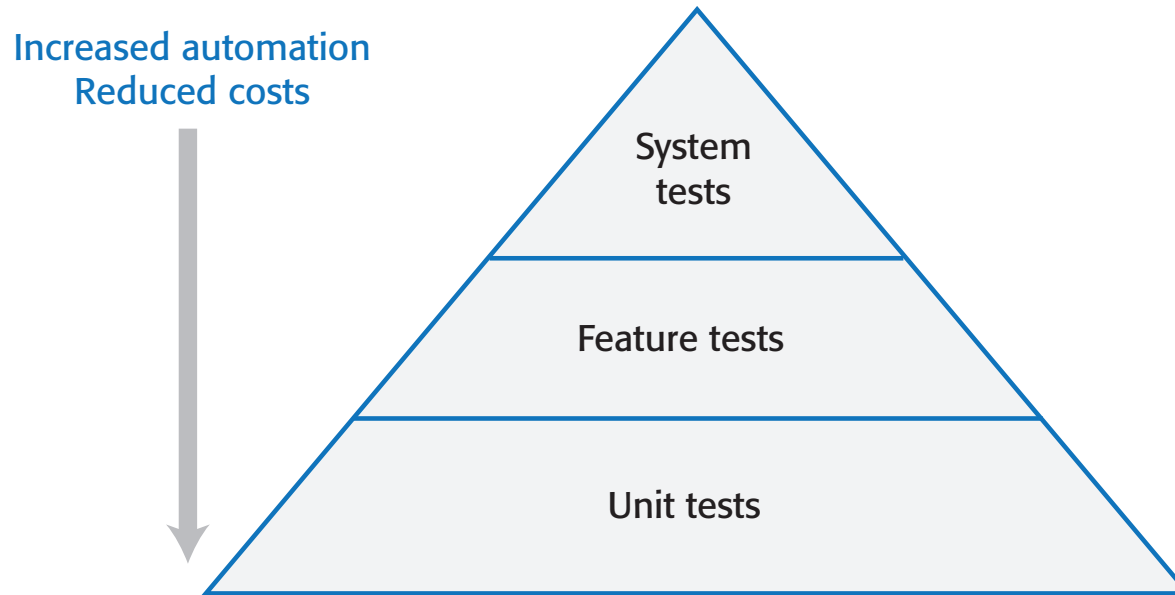
tests = loader.discover('.')

#Specify the level of information provided by the test runner

testRunner = unittest.runner.TextTestRunner(verbosity=2)
testRunner.run(tests)
```



# The test pyramid





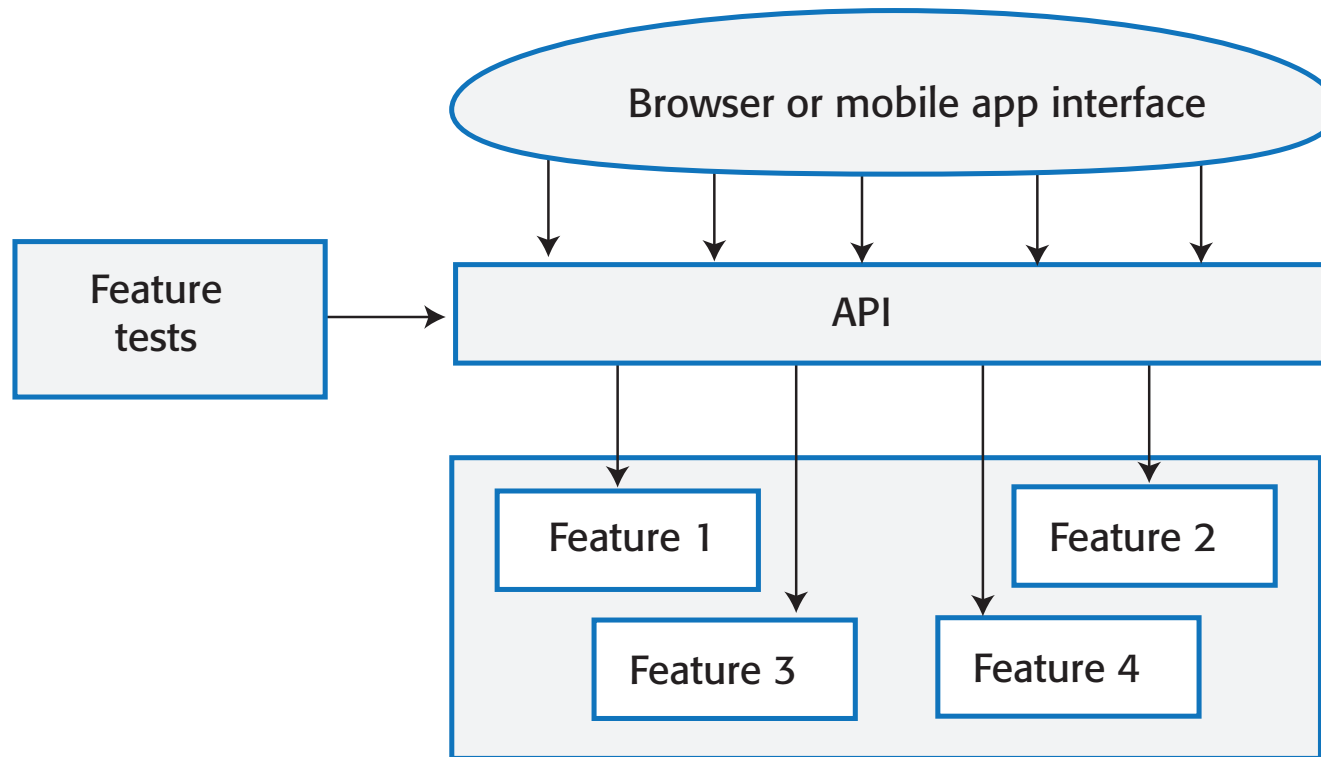
# Automated feature testing



- Generally, users access features through the product's graphical user interface (GUI).
- However, GUI-based testing is expensive to automate so it is best to design your product so that its features can be directly accessed through an API and not just from the user interface.
- The feature tests can then access features directly through the API without the need for direct user interaction through the system's GUI.
- Accessing features through an API has the additional benefit that it is possible to re-implement the GUI without changing the functional components of the software.



# Feature editing through an API



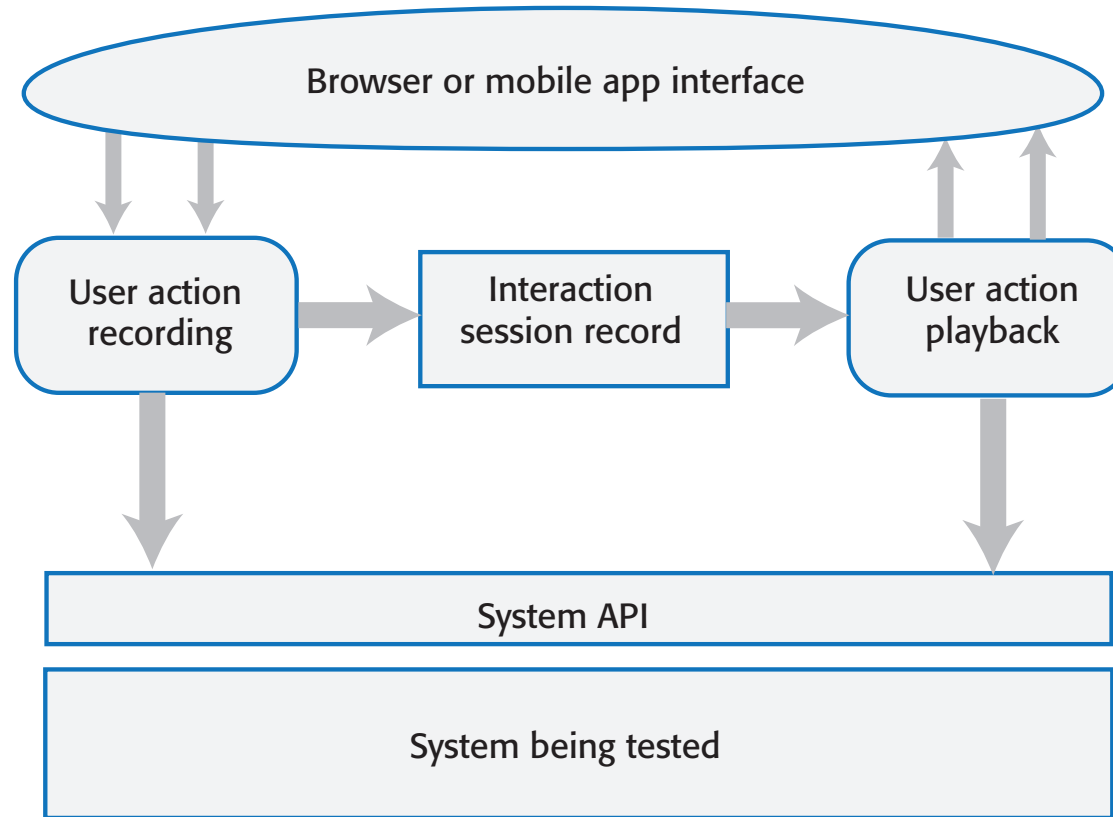
# System testing



- System testing, which should follow feature testing, involves testing the system as a surrogate user.
- As a system tester, you go through a process of selecting items from menus, making screen selections, inputting information from the keyboard and so on.
- You are looking for interactions between features that cause problems, sequences of actions that lead to system crashes and so on.
- Manual system testing, when testers have to repeat sequences of actions, is boring and error-prone. In some cases, the timing of actions is important and is practically impossible to repeat consistently.
  - To avoid these problems, testing tools have been developed that can record a series of actions and automatically replay these when a system is retested



# Interaction recording and playback



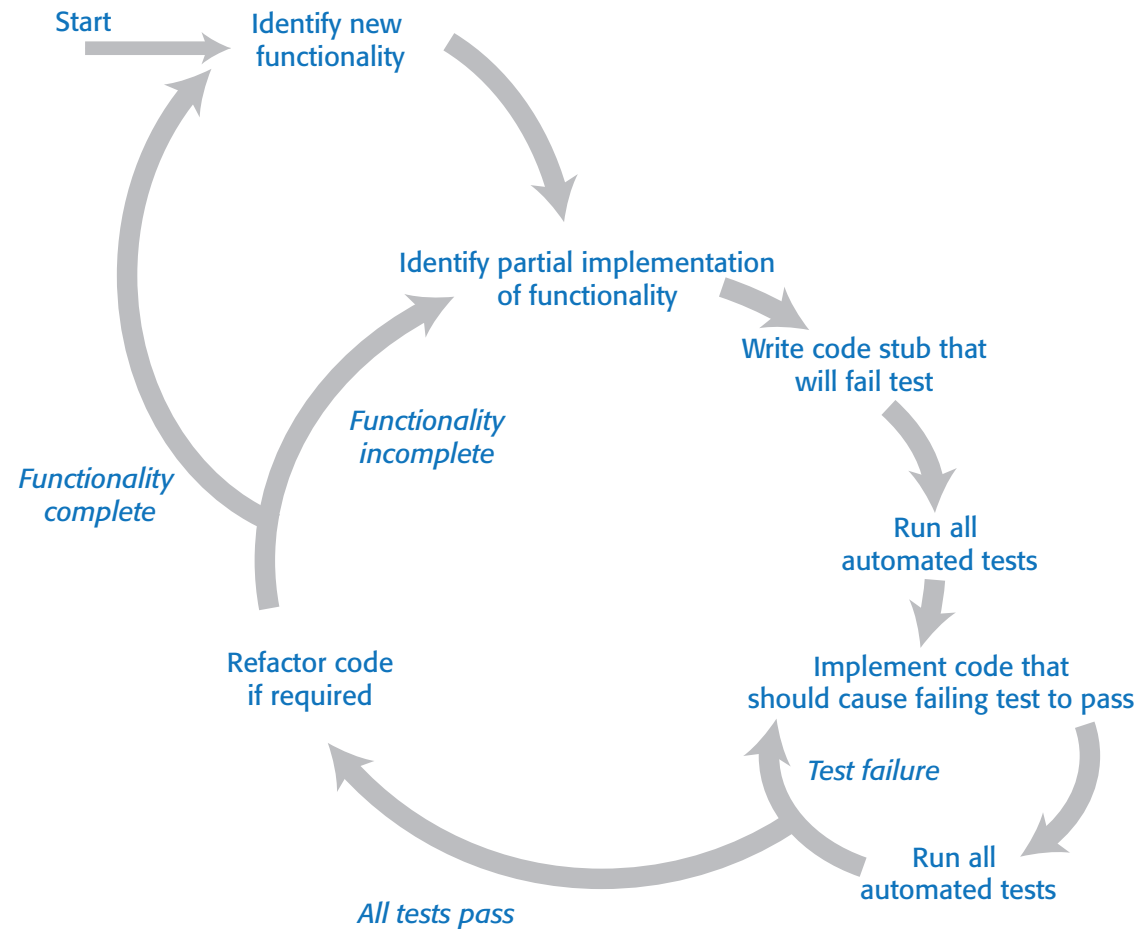
# Test-driven development



- Test-driven development (TDD) is an approach to program development that is based around the general idea that you should write an executable test or tests for code that you are writing before you write the code.
- It was introduced by early users of the Extreme Programming agile method, but it can be used with any incremental development approach.
- Test-driven development works best for the development of individual program units and it is more difficult to apply to system testing.
- Even the strongest advocates of TDD accept that it is challenging to use this approach when you are developing and testing systems with graphical user interfaces.



# Test-driven development



# Stages of test-driven development (1)



- ***Identify partial implementation***

Break down the implementation of the functionality required into smaller mini-units. Choose one of these mini-units for implementation.

- ***Write mini-unit tests***

Write one or more automated tests for the mini-unit that you have chosen for implementation. The mini-unit should pass these tests if it is properly implemented.

- ***Write a code stub that will fail test***

Write incomplete code that will be called to implement the mini-unit. You know this will fail.

- ***Run all existing automated tests***

All previous tests should pass. The test for the incomplete code should fail.



# Stages of test-driven development (2)



- ***Implement code that should cause the failing test to pass***  
Write code to implement the mini-unit, which should cause it to operate correctly
- ***Rerun all automated tests***  
If any tests fail, your code is probably incorrect. Keep working on it until all tests pass.
- ***Refactor code if necessary***  
If all tests pass, you can move on to implementing the next mini-unit. If you see ways of improving your code, you should do this before the next stage of implementation.





# Benefits of test-driven development



- It is a systematic approach to testing in which tests are clearly linked to sections of the program code.
  - This means you can be confident that your tests cover all of the code that has been developed and that there are no untested code sections in the delivered code. In my view, this is the most significant benefit of TDD.
- The tests act as a written specification for the program code. In principle at least, it should be possible to understand what the program does by reading the tests.
- Debugging is simplified because, when a program failure is observed, you can immediately link this to the last increment of code that you added to the system.
- It is argued that TDD leads to simpler code as programmers only write code that's necessary to pass tests. They don't over-engineer their code with complex features that aren't needed.



# Sommerville's reasons for not using TDD



- ***TDD discourages radical program change***  
I found that I was reluctant to make refactoring decisions that I knew would cause many tests to fail. I tended to avoid radical program change for this reason.
- ***I focused on the tests rather than the problem I was trying to solve***  
A basic principle of TDD is that your design should be driven by the tests you have written. I found that I was unconsciously redefining the problem I was trying to solve to make it easier to write tests. This meant that I sometimes didn't implement important checks, because it was difficult to write tests in advance of their implementation.
- ***I spent too much time thinking about implementation details rather than the programming problem***  
Sometimes when programming, it is best to step back and look at the program as a whole rather than focusing on implementation details. TDD encourages a focus on details that might cause tests to pass or fail and discourages large-scale program revisions.
- ***It is hard to write 'bad data' tests***  
Many problems involving dealing with messy and incomplete data. It is practically impossible to anticipate all of the data problems that might arise and write tests for these in advance. You might argue that you should simply reject bad data but this is sometimes impractical.



# Security testing



- Security testing aims to find vulnerabilities that may be exploited by an attacker and to provide convincing evidence that the system is sufficiently secure.
- The tests should demonstrate that the system can resist attacks on its availability, attacks that try to inject malware and attacks that try to corrupt or steal users' data and identity.
- Comprehensive security testing requires specialist knowledge of software vulnerabilities and approaches to testing that can find these vulnerabilities.



# Risk-based security testing



- A risk-based approach to security testing involves identifying common risks and developing tests to demonstrate that the system protects itself from these risks.
- You may also use automated tools that scan your system to check for known vulnerabilities, such as unused HTTP ports being left open.
- Based on the risks that have been identified, you then design tests and checks to see if the system is vulnerable.
- It may be possible to construct automated tests for some of these checks, but others inevitably involve manual checking of the system's behaviour and its files.



# Examples of security risks

- Unauthorized attacker gains access to a system using authorized credentials
- Authorized individual accesses resources that are forbidden to them
- Authentication system fails to detect unauthorized attacker
- Attacker gains access to database using SQL poisoning attack
- Improper management of HTTP session
- HTTP session cookies revealed to attacker
- Confidential data are unencrypted
- Encryption keys are leaked to potential attackers



# Risk analysis



- Once you have identified security risks, you then analyze them to assess how they might arise. For example, for the first risk two slides earlier (unauthorized attacker) there are several possibilities:
  - The user has set weak passwords that can be guessed by an attacker.
  - The system's password file has been stolen and passwords discovered by attacker.
  - The user has not set up two-factor authentication.
  - An attacker has discovered credentials of a legitimate user through social engineering techniques.
- You can then develop tests to check some of these possibilities.
  - For example, you might run a test to check that the code that allows users to set their passwords always checks the strength of passwords.



## Mini Break in Monday Lecture



# Remember Code Reviews?

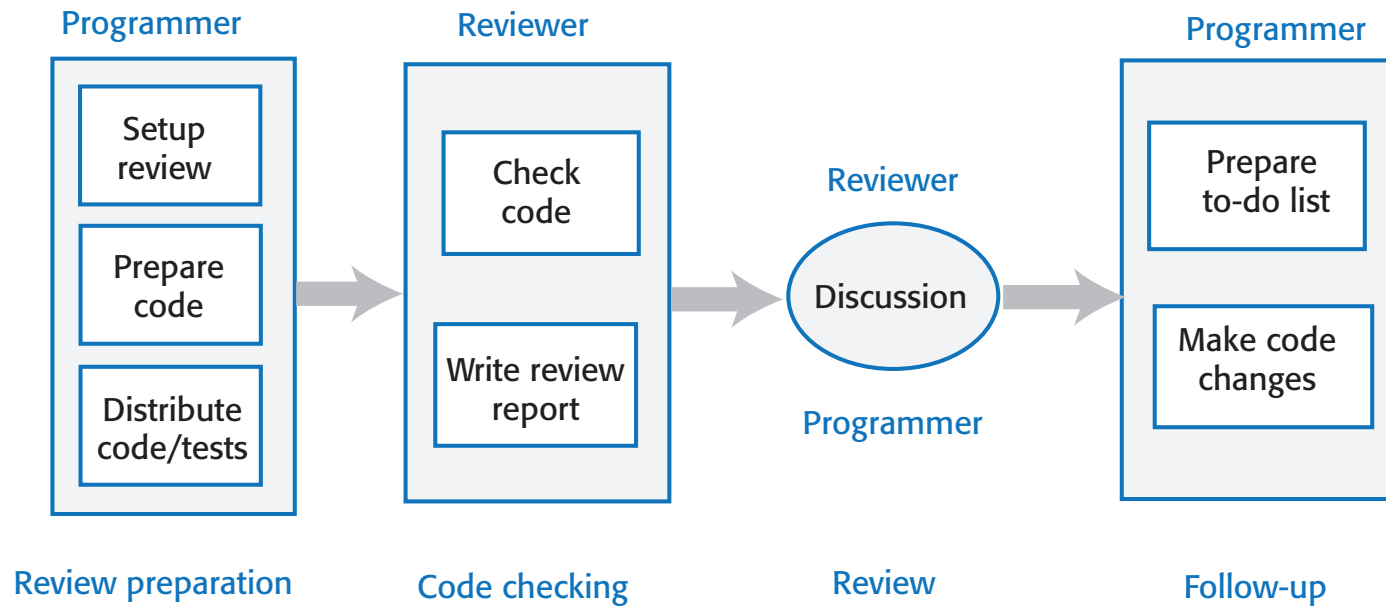


- Code reviews involve one or more people examining the code to check for errors and anomalies and discussing issues with the developer.
- If problems are identified, it is the developer's responsibility to change the code to fix the problems.
- Code reviews complement testing. They are effective in finding bugs that arise through misunderstandings and bugs that may only arise when unusual sequences of code are executed.
- Many software companies insist that all code has to go through a process of code review before it is integrated into the product codebase.





# Code reviews



# Code review activities (1)



- **Setup review**  
The programmer contacts a reviewer and arranges a review date.
- **Prepare code**  
The programmer collects the code and tests for review and annotates them with information for the reviewer about the intended purpose of the code and tests.
- **Distribute code/tests**  
The programmer sends code and tests to the reviewer.
- **Check code**  
The reviewer systematically checks the code and tests against their understanding of what they are supposed to do.
- **Write review report**  
The reviewer annotates the code and tests with a report of the issues to be discussed at the review meeting.



# Code review activities (2)



- ***Discussion***

The reviewer and programmer discuss the issues and agree on the actions to resolve these.

- ***Make to-do list***

The programmer documents the outcome of the review as a to-do list and shares this with the reviewer.

- ***Make code changes***

The programmer modifies their code and tests to address the issues raised in the review.



# Part of a checklist for a Python code review

- **Are meaningful variable and function names used? (General)**  
Meaningful names make a program easier to read and understand.
- **Have all data errors been considered and tests written for them? (General)**  
It is easy to write tests for the most common cases but it is equally important to check that the program won't fail when presented with incorrect data.
- **Are all exceptions explicitly handled? (General)**  
Unhandled exceptions may cause a system to crash.
- **Are default function parameters used? (Python)**  
Python allows default values to be set for function parameters when the function is defined. This often leads to errors when programmers forget about or misuse them.
- **Are types used consistently? (Python)**  
Python does not have compile-time type checking so it is possible to assign values of different types to the same variable. This is best avoided but, if used, it should be justified.
- **Is the indentation level correct? (Python)**  
Python uses indentation rather than explicit brackets after conditional statements to indicate the code to be executed if the condition is true or false. If the code is not properly indented in nested conditionals this may mean that incorrect code is executed.



# INTRO TO QA AND TESTING (TAKE 2 😊)



# What is Testing???



- **What is testing?**
  - Execution of code on sample inputs in a controlled environment
- **Principle goals:**
  - Validation: program meets requirements, including quality attributes.
  - Defect testing: reveal failures.
- **Other goals:**
  - Reveal bugs (main goal)
  - Assess quality (hard to quantify)
  - Clarify the specification, documentation
  - Verify contracts



# What is Testing???



- What can we test for? (Software quality attributes)
  - What can we not test for?
- Why should we test? What does testing achieve?
  - What does testing not achieve?
- When should we test?
  - And where should we run the tests?
- What should we test?
  - What CAN we test?
- How should we test?
  - How many ways can you test the `sort()` function?
- How good are our tests?
  - How to measure test quality?



# WHAT CAN WE RUN (AUTOMATED) TESTS FOR? (SOFTWARE QUALITY ATTRIBUTES)





# WHAT CAN WE NOT (EASILY) TEST FOR? (SOFTWARE QUALITY ATTRIBUTES)



# Things we might try to test



- **Program/system functionality:**
  - Execution space (white box).
  - Input or requirements space (black box).
- The expected user experience (usability).
  - GUI testing, A/B testing
- The expected performance envelope (performance, reliability, robustness, integration).
  - Security, robustness, fuzz, and infrastructure testing.
  - Performance and reliability: soak and stress testing.
  - Integration and reliability: API/protocol testing



# Software Errors



- Functional errors
- Performance errors
- Deadlock
- Race conditions
- Boundary errors
- Buffer overflow
- Integration errors
- Usability errors
- Robustness errors
- Load errors
- Design defects
- Versioning and configuration errors
- Hardware errors
- State management errors
- Metadata errors
- Error-handling errors
- User interface errors
- API usage errors
- ...



# WHY SHOULD WE TEST? (WHAT DOES TESTING HELP US ACHIEVE?)



# Value of Testing



- [Low bar] Ensure that our software meets requirements, is correct, etc.
- Preventing bugs or quality degradations from being accidentally introduced in the future
- Helps uncover unexpected behaviors that can't be identified by reading source code
- Increased confidence in changes (“will I break the internet with this commit?”)
- Bridges the gap between a declarative view of the system (i.e., requirements) and an imperative view (i.e., implementation) by means of redundancy.
- Tests are executable documentation; increases code maintainability
- Forces writing testable code  $\leftrightarrow$  checks software design



# WHAT ARE THE LIMITATIONS OF TESTING? (WHAT DOES TESTING NOT ACHIEVE?)



# Limitations of Testing



"Testing shows the presence, not the absence of bugs."

-Edsger W. Dijkstra

- Testing doesn't really give any formal assurances
- Writing tests is hard, time consuming
- Knowing if your tests are good enough is not obvious
- Executing tests can be expensive, especially as software complexity and configuration space grows
  - Full test suite for a single large app can take several days to run
- Halting Problem



# WHEN SHOULD WE TEST? (AND WHERE SHOULD WE RUN THE TESTS?)



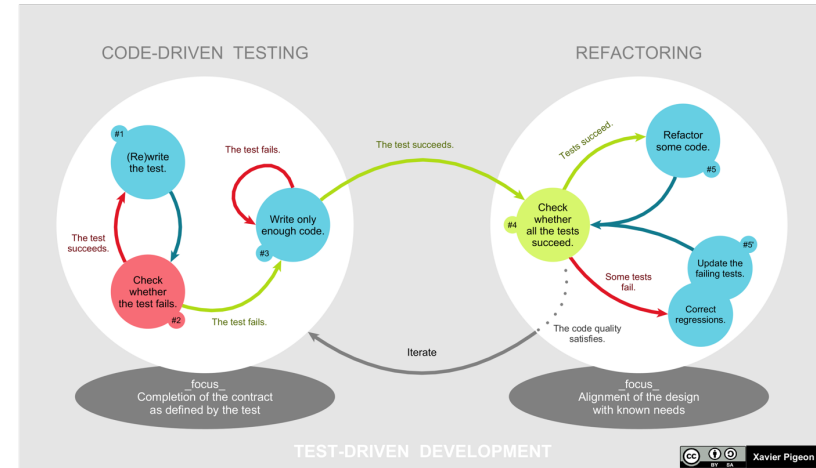




# Test Driven Development (TDD)



- Tests first!
- Popular agile technique
- Write tests as specifications before code
- Never write code without a failing test
- Claims:
  - Design approach toward testable design
  - Think about interfaces first
  - Avoid unneeded code
  - Higher product quality
  - Higher test suite quality
  - Higher overall productivity



# Common bar for contributions



## Chromium

- **Changes should include corresponding tests.** Automated testing is at the heart of how we move forward as a project. All changes should include corresponding tests so we can ensure that there is good coverage for code and that future changes will be less likely to regress functionality. Protect your code with tests!

## Firefox

### Testing Policy

Everything that lands in mozilla-central includes automated tests by default. Every commit has tests that cover every major piece of functionality and expected input conditions.

## Docker

### Conventions

Fork the repo and make changes on your fork in a feature branch:

- If it's a bugfix branch, name it XXX-something where XXX is the number of the issue
- If it's a feature branch, create an enhancement issue to announce your intentions, and name it XXX-something where XXX is the number of the issue.

Submit unit tests for your changes. Go has a great test framework built in; use it! Take a look at existing tests for inspiration. Run the full test suite on your branch before submitting a pull request.



# Regression testing



- Usual model:

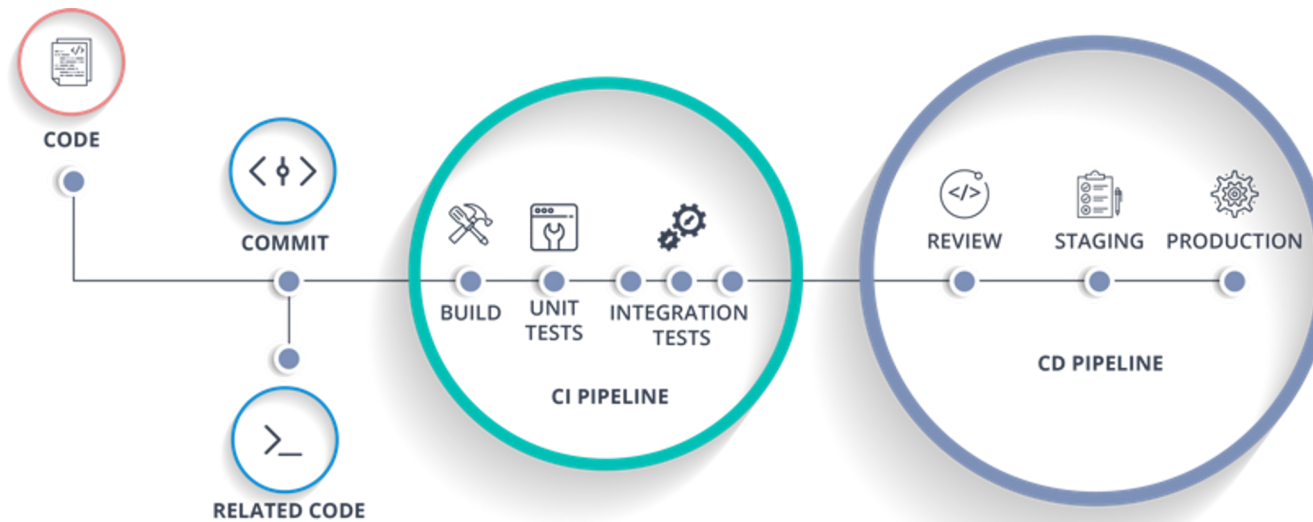
- Introduce regression tests for bug fixes, etc.
- Compare results as code evolves
  - `Code1 + TestSet` → `TestResults1`
  - `Code2 + TestSet` → `TestResults2`
- As code evolves, compare **TestResults1** with **TestResults2**, etc.

- Benefits:

- Ensure bug fixes remain in place and bugs do not reappear.
- Reduces reliance on specifications, as `<TestSet,TestResults1>` acts as one.



# Continuous Integration



# WHAT SHOULD WE TEST? (WHAT CAN WE TEST?)



# Testing Levels

- **Unit testing**
- **Integration testing**
- **System testing**



# Testing Levels



- **Unit testing**

- Code level, E.g. is a function implemented correctly?
- Does not require setting up a complex environment

- **Integration testing**

- Do components interact correctly? E.g. a feature that cuts across client and server.
- Usually requires some environment setup, but can abstract/mock out other components that are not being tested (e.g. network)

- **System testing**

- **Validating the whole system end-to-end (E2E)**
- Requires complete deployment in a staging area, but fake data

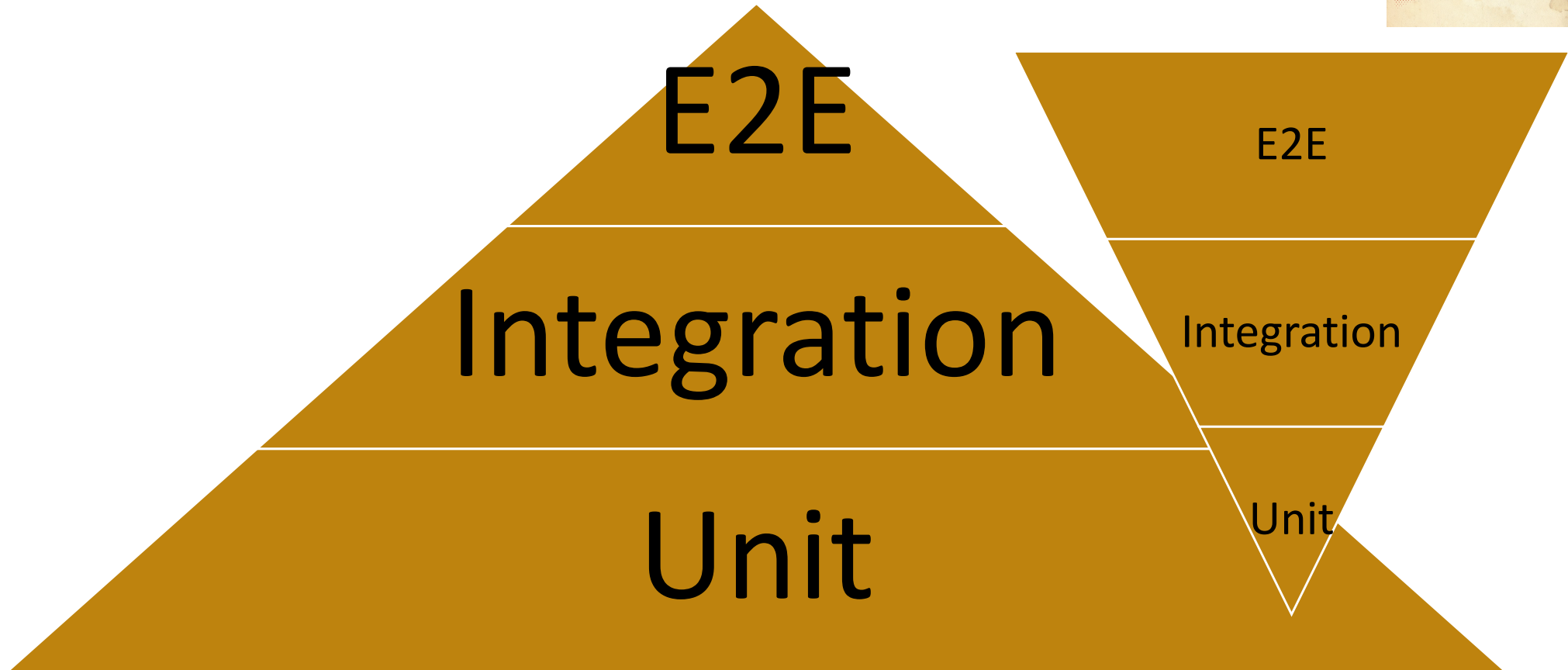
- **Testing in production**

- **Real data but more risks**





# What's a good distribution of test levels?



# HOW GOOD ARE OUR TESTS? (HOW CAN WE MEASURE TEST QUALITY?)



# Code Coverage

- Line coverage
- Statement coverage
- Branch coverage
- Instruction coverage
- Basic-block coverage
- Edge coverage
- Path coverage
- ...



# Code Coverage



## LCOV - code coverage report

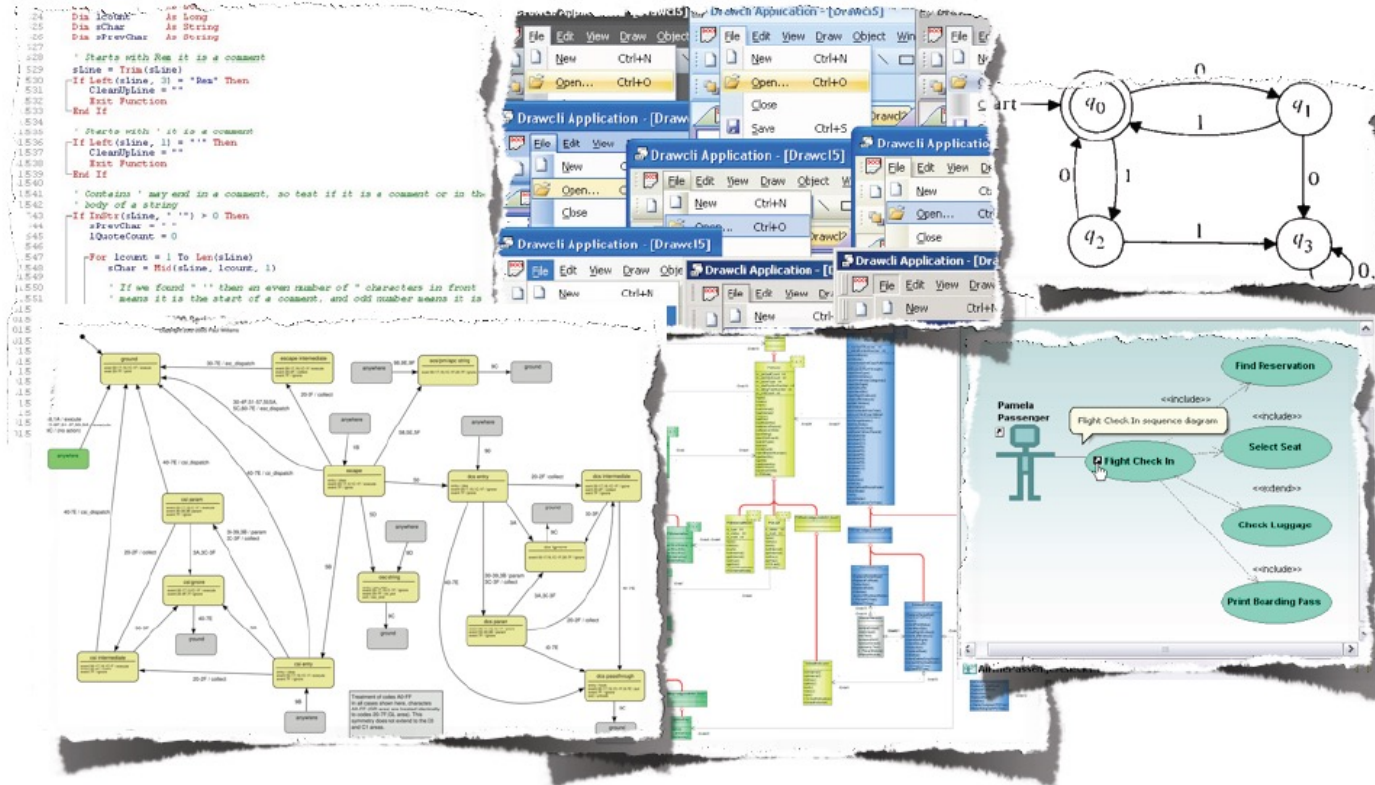
Current view: [top level](#) - test  
 Test: coverage.info  
 Date: 2018-02-07 13:06:43

	Hit	Total	Coverage
Lines:	6092	7293	83.5 %
Functions:	481	518	92.9 %

Filename	Line Coverage	Hit	Total	Coverage
asn1_string_table_test.c	58.8 %	20 / 34		
asn1_time_test.c	72.0 %	72 / 100		
bad_dtls_test.c	97.6 %	163 / 167		
bftest.c	65.3 %	64 / 98		
bio_enc_test.c	78.7 %	74 / 94		
bntest.c	97.7 %	1038 / 1062		
chacha_internal_test.c	83.3 %	10 / 12		
ciphernametest.c	60.4 %	32 / 53		
crlltest.c	100.0 %	90 / 90		
ct_test.c	95.5 %	212 / 222		
d2i_test.c	72.9 %	35 / 48		
danetest.c	75.5 %	123 / 163		
dhtest.c	84.6 %	88 / 104		
drbgtest.c	69.8 %	157 / 225		
dtls_mtu_test.c	86.8 %	59 / 68		
dtlstest.c	97.1 %	34 / 35		
dtlsvllistentest.c	94.9 %	37 / 39		
ecdsatest.c	94.0 %	140 / 149		
enginetest.c	92.8 %	141 / 152		
evp_extra_test.c	100.0 %	112 / 112		
fatalerrtest.c	89.3 %	25 / 28		
handshake_helper.c	84.7 %	494 / 583		
hmactest.c	100.0 %	71 / 71		
ideatest.c	100.0 %	30 / 30	100.0 %	4 / 4
igettest.c	87.9 %	109 / 124	100.0 %	11 / 11
lhash_test.c	78.6 %	66 / 84	100.0 %	8 / 8
mdc2_internal_test.c	81.8 %	9 / 11	100.0 %	2 / 2
mdc2test.c	100.0 %	18 / 18	100.0 %	2 / 2
ocspapitest.c	95.5 %	64 / 67	100.0 %	4 / 4
packettest.c	100.0 %	248 / 248	100.0 %	24 / 24



# We can measure coverage on almost anything



A. Zeller, Testing and Debugging Advanced course, 2010



# Beware of coverage chasing



- Recall: issues with metrics and incentives
- Also: Numbers can be deceptive
  - 100% coverage != exhaustively tested
- “Coverage is not strongly correlated with suite effectiveness”
  - Based on empirical study on GitHub projects [Inozemtseva and Holmes, ICSE’14]
- Still, it’s a good low bar
  - Code that is not executed has definitely not been tested



# Coverage of what?

- Distinguish code being tested and code being executed
- Library code >>>> Application code
  - Can selectively measure coverage
- All application code >>> code being tested
  - Not always easy to do this within an application



# Coverage != Outcome



- What's better, tests that always pass or tests that always fail?
- Tests should ideally be *falsifiable*. Boundary determines specification
- Ideally:
  - Correct implementations should pass all tests
  - Buggy code should fail at least one test
  - Intuition behind *mutation testing*
- What if tests have bugs?
  - Pass on buggy code or fail on correct code
- Even worse: flaky tests
  - Pass or fail on the same test case nondeterministically
- What's the worst type of test?





# HOW SHOULD WE TEST?



# JUnit



- Popular unit-testing framework for Java
- Easy to use
- Tool support available (Maven, Gradle, etc.)
- Can be used as design mechanism

```
import org.junit.jupiter.api.*;
import static org.junit.jupiter.api.Assertions.*;

import java.util.*;

public class Tester {
    @Test
    public void testSort() {
        int[] input = {8, 16, 15, 4, 42, 23};
        int[] output = {4, 8, 15, 16, 23, 42};
        assertEquals(sort(input), output);
    }

    int[] sort(int[] args) {
        List<Integer> in = new ArrayList();
    }
}
```



# Basic Elements of a Test



- Tests usually need an *input* and *expected output*.

```
@Test
public void testSort() {
    int[] input = {8, 16, 15, 4, 42, 23};
    int[] output = {4, 8, 15, 16, 23, 42};
    assertEquals(sort(input), output);
}
```

- More generally, a *test environment*, a *test harness*, and a *test oracle*
  - **Environment:** Resources needed to execute a family of tests
  - **Harness:** Triggers execution of a test case (aka *entry point*)
  - **Oracle:** A mechanism for determining whether a test was successful



# Test Design principles



- Use public APIs only
- Clearly distinguish inputs, configuration, execution, and oracle
- Be simple; avoid complex control flow such as conditionals and loops
- Tests shouldn't need to be frequently changed or refactored
  - Definitely not as frequently as the code being tested changes



# Anti-patterns



- **Snoopy oracles**
  - Relying on implementation state instead of observable behavior
  - E.g. Checking variables or fields instead of return values
- **Brittle tests**
  - Overfitting to special-case behavior instead of general principle
  - E.g. hard-coding message strings instead of behavior
- **Slow tests**
  - Self-explanatory (beware of heavy environments, I/O, and `sleep()`)
- **Flaky tests**
  - Tests that pass or fail nondeterministically
  - Often because of reliance on random inputs, timing (e.g. `sleep(1000)`), availability of external services (e.g. fetching data over the network in a unit test), or dependency on order of test execution (e.g. previous test sets up global variables in certain way)



# TEST STRATEGIES



# Basic Unit Test for Sort



```
@Test
public void testSort() {
    var input = Arrays.asList(1, 3, 2);
    var output = Arrays.asList(1, 2, 3);
    Collections.sort(input);
    assertEquals(input, output);
}
```

- What are some interesting values to test?
  - List tuples <input, output, reason>



# Black-box & Specification-Based Testing



- Test cases are often designed based on behavioral equivalence classes.
  - *Assumption*: if test passes for one value => test will pass for all values in the equivalence class.
- Systematic tests can be drawn from specification.
  - For example: A year is a *leap year* if:
    - the year is divisible by 4;
    - and the year is not divisible by 100;
      - except when the year is divisible by 400
  - Tests:
    - `assert isLeapYear(1945) == false`
    - `assert isLeapYear(1944) == true`
    - `assert isLeapYear(1900) == false`
    - `assert isLeapYear(2000) == true`





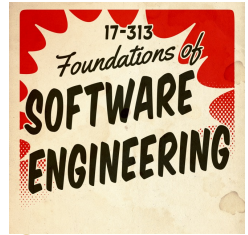
# Boundary-Value Testing



- **Aim:** Test for cases that are at the “boundary” of equivalence classes in the specification.
  - Small change in input moves it from one class to another.
  - Example: Testing a function *divide*(int a, int b)
    - One boundary may be at ``a == b``
- **Edge case:** One of many parameters are at the boundary
  - E.g. for *divide*: `a=0, b=42` or `a=42, b = 0`
  - E.g. for *sort*: list contains duplicates, list is empty
- **Corner case:** Combination of parameters are at the boundary
  - E.g. for *divide*: `a=0, b=0`



# White-box or Structural Testing



- *Aim*: Test for cases that exercise various program elements (e.g. functions, lines, statements, branches)
- *Key idea*: If you don't execute some code, you can't find bugs in that code. So, let's execute all the code.
- Which one do you think is harder: black-box boundary-value testing or white-box structural testing?



# Coverage of the Basic Unit Test



```
alex@kanga TestingExamples % ./run-pytest.sh
===== test session starts =====
platform darwin -- Python 3.10.4, pytest-7.1.3, pluggy-1.0.0
rootdir: /Users/alex/Dropbox/Teaching/COMP2120/2022S2/TestingExamples
collected 8 items

bubble_sort.py .. [ 25%]
insertion_sort.py .. [ 50%]
merge_sort.py .. [ 75%]
tim_sort.py .. [100%]

===== 8 passed in 0.01s =====
Name                Stmts  Miss  Cover   Missing
-----
bubble_sort.py      14      0  100%
insertion_sort.py   16      0  100%
merge_sort.py       31      0  100%
tim_sort.py         64     33   48%  6-7, 21-51, 63-67
-----
TOTAL                125     33   74%
alex@kanga TestingExamples %
```



But the basic unit test worked well for Merge and otherSort....



Coverage != Completeness



# Mutation Testing



- *Key idea*: Inject bugs in the program by *mutating* the source code.
- *Ideally*: at least one test should fail on the mutated program (= catch bug).
  - If this happens, the mutant is said to be “killed”.
  - If all tests continue to pass under the mutated program, then the mutant is said to “survive”.
  - Mutation score = (mutants killed) / (total mutants). This is a better predictor of bug-finding capability than coverage.
- *Competent programmer assumption*: programs are mostly correct, except for very small errors.
  - Shows that tests are falsifiable at the boundary of implementation (as opposed to boundary of specification).



# Mutation Testing



- Sample mutations include:
  - Change 'a + b' to 'a - b'
  - Change 'if (a > b)' to 'if (a >= b)' or 'if(b > a)'
  - Change 'i++' to 'i--'
  - Replace integer variables with 0
  - Change 'return x' to 'return True' (or some other constant)
  - Delete lines containing void method calls (e.g. 'x.setFoo(1)')
  - ... and many more
- Over time, standard list of mutators curated by researchers
- Pitest is a popular mutation testing tool for Java ([pitest.org](http://pitest.org))



# Mutation Testing



- Nice idea but has several limitations:

1. *Equivalent* mutations: Modifications that do not affect program semantics (e.g. affecting the pivot in Quicksort).
2. Needs a pretty *complete* test oracle: Otherwise, some genuine bugs may never be caught. We'll come back to this point later.
3. Expensive to run. N mutants require N test executions. Program testing costs scale quadratically (because N also grows with size).

```
private static <T extends Comparable<T>> int partition(T[] array, int left, int right) {
    int mid = (left + right) >>> 1;
    T pivot = array[mid];

    while (left <= right) {
        while (less(array[left], pivot)) {
            ++left;
        }
        while (less(pivot, array[right])) {
            --right;
        }
    }
}
```



# Test Oracles



- Obvious in some applications (e.g. “sort()”) but more challenging in others (e.g. “encrypt()” or UI-based tests)
- Lack of good oracles can limit the scalability of testing. Easy to generate lots of input data, but not easy to validate if output (or other program behavior) is correct.
- Fortunately, we have some tricks.





# Property-Based Testing



- Intends to validate invariants that are always true of a computed result.
  - E.g. if testing a list-reversing function called `rev`, then we have the invariant:  
`rev(rev(list)).equals(list)`
- Key idea: Can now easily scale testing to very large data sets, either hand-written or automatically generated, without the need for hard-coding expected outputs completely.

```
@Property
public void testSameLength(List<Integer> input) {
    var output : List<Integer> = sort(input);
    // Check length
    assert output.size() == input.size() : "Length should match";
}
```



# Differential Testing



- If you have two implementations of the same specification, then their output should match on all inputs.
  - E.g. `timSort(x).equals(quickSort(x))` → should always be true`
  - Special case of a property test, with a free oracle.
- If a differential test fails, at least one of the two implementations is wrong.
  - But which one?
  - If you have  $N > 2$  implementations, run them all and compare. Majority wins (the odd one out is buggy).
- Differential testing works well when testing programs that implement standard specifications such as compilers, browsers, SQL engines, XML/JSON parsers, media players, etc.
  - Not feasible in general



# Regression Testing



- Differential testing through time (or *versions*, say V1 and V2).
- Assuming V1 and V2 don't add a new feature or fix a known bug, then  $f(x)$  in V1 should give the same result as  $f(x)$  in V2.
- *Key Idea*: Assume the current version is correct. Run program on current version and log output. Compare all future versions to that output.





# DYNAMIC ANALYSIS AND ADVANCED AUTOMATED TESTING



Puzzle:

Find  $x$  such that `p1(x)` returns `True`

```
def p1(x):  
    if x * x - 10 == 15:  
        return True  
    return False
```



Puzzle:

Find  $x$  such that `p2(x)` returns `True`

```
def p2(x):  
    if x > 0 and x < 1000:  
        if ((x - 32) * 5/9 == 100):  
            return True  
    return False
```



# Puzzle:

Find  $x$  such that `p3(x)` returns `True`

```
def p3(x):  
    if x > 3 and x < 100:  
        z = x - 2  
        c = 0  
        while z >= 2:  
            if z ** (x - 1) % x == 1:  
                c = c + 1  
            z = z - 1  
        if c == x - 3:  
            return True  
    return False
```





# FindBugs (2006!)



**FindBugs**  
because it's easy

**Docs and Info**

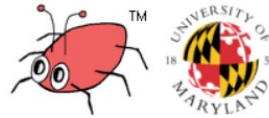
- FindBugs 2.0
- Demo and data
- Users and supporters
- FindBugs blog
- Fact sheet
- Manual
- Manual(ja/日本語)
- FAQ
- Bug descriptions
- Bug descriptions(ja/日本語)
- Bug descriptions(fr)
- Mailing lists
- Documents and Publications
- Links

**Downloads**

**FindBugs Swag**

**Development**

- Open bugs
- Reporting bugs
- Contributing
- Dev team



## FindBugs™ - Find Bugs in Java Programs

This is the web page for FindBugs, a program which uses static analysis to look for bugs in Java terms of the [Lesser GNU Public License](#). The name FindBugs™ and the [FindBugs logo](#) are trademarks and have been downloaded more than a million times.

The current version of FindBugs is 3.0.1.

FindBugs requires JRE (or JDK) 1.7.0 or later to run. However, it can analyze programs compiled with older versions.

The current version of FindBugs is 3.0.1, released on 13:05:33 EST, 06 March, 2015. [We are very grateful to our contributors](#). File bug reports on [our sourceforge bug tracker](#)

[Changes](#) | [Talks](#) | [Papers](#) | [Sponsors](#) | [Support](#)

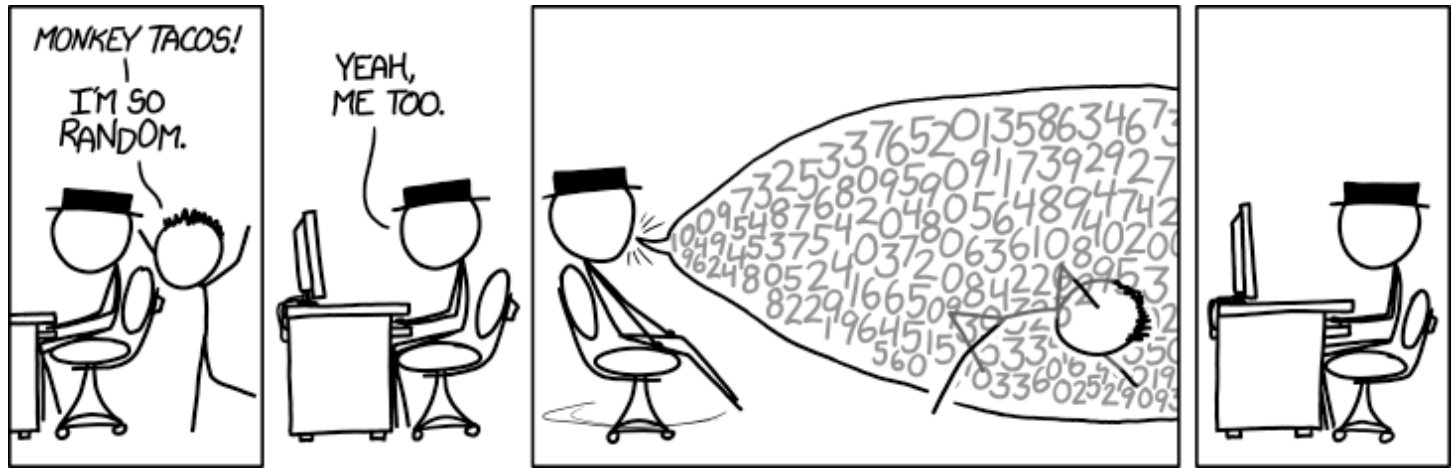
## FindBugs 3.0.1 Release

- A number of changes described in the [changes document](#), including new bug patterns:
  - [BSHIFT\\_WRONG\\_ADD\\_PRIORITY](#),
  - [CO\\_COMPARETO\\_INCORRECT\\_FLOATING](#),
  - [DC\\_PARTIALLY\\_CONSTRUCTED](#),
  - [DM\\_BOXED\\_PRIMITIVE\\_FOR\\_COMPARE](#),
  - [DM\\_INVALID\\_MIN\\_MAX](#),
  - [ME\\_MUTABLE\\_ENUM\\_FIELD](#),
  - [ME\\_ENUM\\_FIELD\\_SETTER](#),
  - [MS\\_MUTABLE\\_COLLECTION](#),
  - [MS\\_MUTABLE\\_COLLECTION\\_PKGPROTECT](#),
  - [RANGE\\_ARRAY\\_INDEX](#)



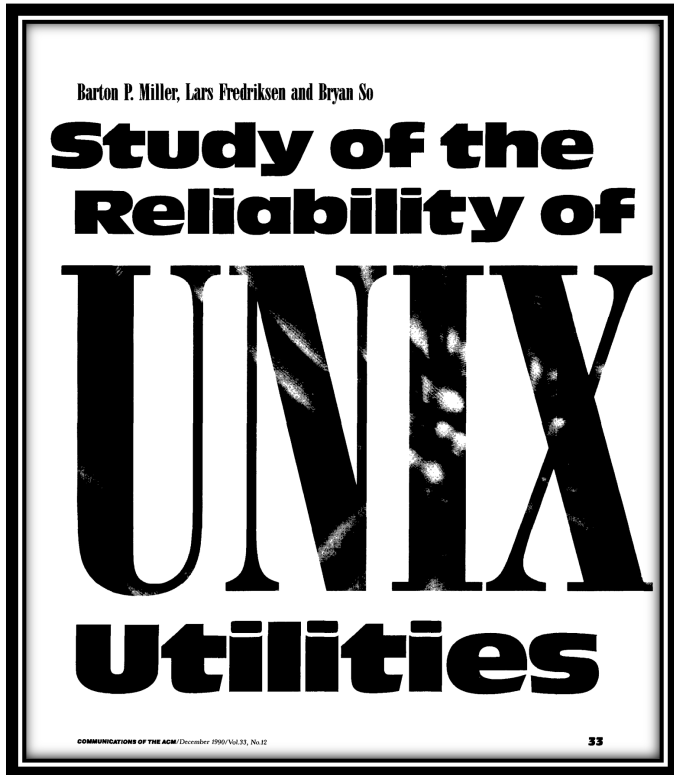
Security and Robustness  
**FUZZ TESTING**





Original: <https://xkcd.com/1210> CC-BY-NC 2.5



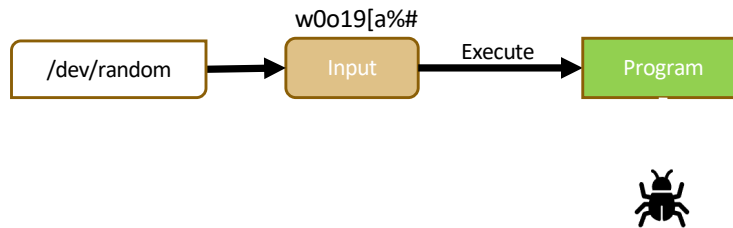


Communications of the ACM (1990)

“ On a dark and stormy night one of the authors was logged on to his workstation on a dial-up line from home and the rain had affected the phone lines; there were frequent spurious characters on the line. The author had to race to see if he could type a sensible sequence of characters before the noise scrambled the command. This line noise was not surprising; but we were surprised that these spurious characters were causing programs to crash.”



# Fuzz Testing



1990 study found crashes in:  
*adb, as, bc, cb, col, diction, emacs, eqn, ftp, indent, lex, look, m4, make, nroff, plot, prolog, ptx, refer!, spell, style, tsort, uniq, vgrind, vi*



# Common Fuzzer-Found Bugs in C/C++



Causes: incorrect arg validation, incorrect type casting, executing untrusted code, etc.

Effects: buffer-overflows, memory leak, division-by-zero, use-after-free, assertion violation, etc. (“crash”)

Impact: security, reliability, performance, correctness

How to identify these bugs in languages like C/C++?



# Automatic Oracles: Sanitizers



- Address Sanitizer (ASAN)
- LeakSanitizer (comes with ASAN)
- Thread Sanitizer (TSAN)
- Undefined-behavior Sanitizer (UBSAN)

<https://github.com/google/sanitizers>



# AddressSanitizer



Compile with `clang -fsanitize=address`

```
int get_element(int* a, int i) {  
    return a[i];  
}
```

Is it null?

```
int get_element(int* a, int i) {  
    if (a == NULL) abort();  
    return a[i];  
}
```

Is the access out of bounds?

```
int get_element(int* a, int i) {  
    if (a == NULL) abort();  
    region = get_allocation(a);  
    if (in_heap(region)) {  
        low, high = get_bounds(region);  
        if ((a + i) < low || (a + i) > high) {  
            abort();  
        }  
    }  
    return a[i];  
}
```

Is this a reference to a stack-allocated variable after return?

```
int get_element(int* a, int i) {  
    if (a == NULL) abort();  
    region = get_allocation(a);  
    if (in_stack(region)) {  
        if (popped(region)) abort();  
        ...  
    }  
    if (in_heap(region)) { ... }  
    return a[i];  
}
```



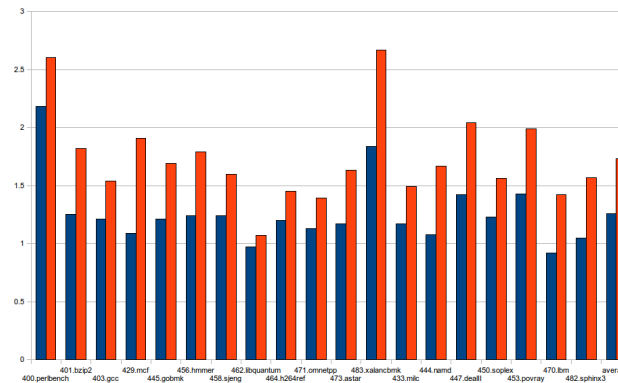


# AddressSanitizer



Asan is a memory error detector for C/C++. It finds:

- Use after free (dangling pointer dereference)
- Heap buffer overflow
- Stack buffer overflow
- Global buffer overflow
- Use after return
- Use after scope
- Initialization order bugs
- Memory leaks



<https://github.com/google/sanitizers/wiki/AddressSanitizer>



# Strengths and Limitations



- **Exercise:** Write down two strengths and two weaknesses of fuzzing. Bonus: Write down one or more assumptions that fuzzing depends on.



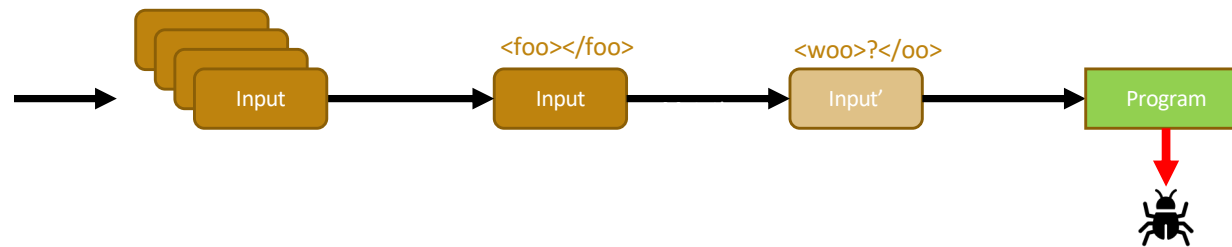
# Strengths and Limitations



- Strengths:
  - Cheap to generate inputs
  - Easy to debug when a failure is identified
- Limitations:
  - Randomly generated inputs don't make sense most of the time.
    - E.g. Imagine testing a browser and providing some "input" HTML randomly: **dgsad5135o gsd;jj  
lsdkg3125j@!T%#( W+123sd asf j**
  - Unlikely to exercise interesting behavior in the web browser
  - Can take a long time to find bugs. Not sure when to stop.



# Mutation-Based Fuzzing (e.g. Radamsa)



# Mutation Heuristics



## ■ Binary input

- Bit flips, byte flips
- Change random bytes
- Insert random byte chunks
- Delete random byte chunks
- Set randomly chosen byte chunks to *interesting* values e.g. INT\_MAX, INT\_MIN, 0, 1, -1, ...
- Other suggestions?

## ■ Text input

- Insert random symbols or keywords from a dictionary
- Other suggestions?



# American Fuzzy Lop (<https://github.com/google/AFL>)

## 2) The afl-fuzz approach

American Fuzzy Lop is a brute-force fuzzer coupled with an exceedingly simple but rock-solid instrumentation-guided genetic algorithm. It uses a modified form of edge coverage to effortlessly pick up subtle, local-scale changes to program control flow.

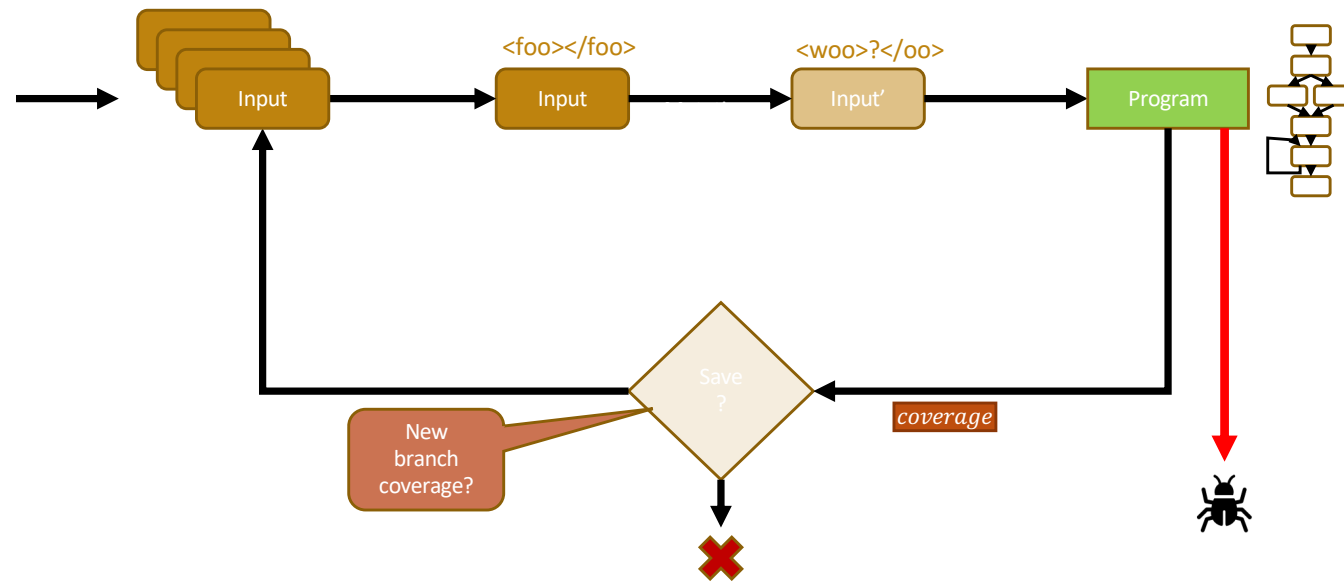
Simplifying a bit, the overall algorithm can be summed up as:

1. Load user-supplied initial test cases into the queue,
2. Take next input file from the queue,
3. Attempt to trim the test case to the smallest size that doesn't alter the measured behavior of the program,
4. Repeatedly mutate the file using a balanced and well-researched variety of traditional fuzzing strategies,
5. If any of the generated mutations resulted in a new state transition recorded by the instrumentation, add mutated output as a new entry in the queue.
6. Go to 2.

The discovered test cases are also periodically culled to eliminate ones that have been obsoleted by newer, higher-coverage finds; and undergo several other instrumentation-driven effort minimization steps.



# Coverage-Guided Fuzzing (e.g. AFL)



# Coverage-Guided Fuzzing with AFL

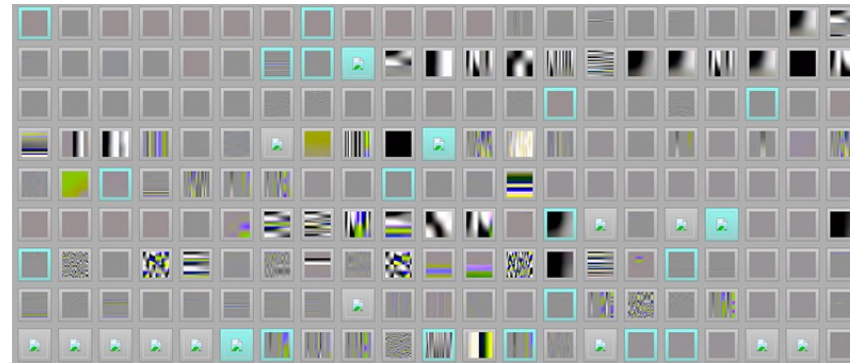


November 07, 2014

## Pulling JPEGs out of thin air

This is an interesting demonstration of the capabilities of [afl](#); I was actually pretty surprised that it worked!

```
$ mkdir in_dir  
$ echo 'hello' >in_dir/hello  
$ ./afl-fuzz -i in_dir -o out_dir ./jpeg-9a/djpeg
```



<http://camtuf.blogspot.com/2014/11/pulling-jpegs-out-of-thin-air.html>





# Coverage-Guided Fuzzing with AFL



## The bug-o-rama trophy case

IJG jpeg <sup>1</sup>	libjpeg-turbo <sup>1 2</sup>	libpng <sup>1</sup>
libtiff <sup>1 2 3 4 5</sup>	mozjpeg <sup>1</sup>	PHP <sup>1 2 3 4 5 6 7 8</sup>
Mozilla Firefox <sup>1 2 3 4</sup>	Internet Explorer <sup>1 2 3 4</sup>	Apple Safari <sup>1</sup>
Adobe Flash / PCRE <sup>1 2 3 4 5 6 7</sup>	sqlite <sup>1 2 3 4...</sup>	OpenSSL <sup>1 2 3 4 5 6 7</sup>
LibreOffice <sup>1 2 3 4</sup>	poppler <sup>1 2...</sup>	freetype <sup>1 2</sup>
GnuTLS <sup>1</sup>	GnuPG <sup>1 2 3 4</sup>	OpenSSH <sup>1 2 3 4 5</sup>
PuTTY <sup>1 2</sup>	ntpd <sup>1 2</sup>	nginx <sup>1 2 3</sup>
bash (post-Shellshock) <sup>1 2</sup>	tcpdump <sup>1 2 3 4 5 6 7 8 9</sup>	JavaScriptCore <sup>1 2 3 4</sup>
pdfium <sup>1 2</sup>	ffmpeg <sup>1 2 3 4 5</sup>	libmatroska <sup>1</sup>
libarchive <sup>1 2 3 4 5 6 ...</sup>	wireshark <sup>1 2 3</sup>	ImageMagick <sup>1 2 3 4 5 6 7 8 9 ...</sup>
BIND <sup>1 2 3 ...</sup>	QEMU <sup>1 2</sup>	lcms <sup>1</sup>

<http://lcamtuf.coredump.cx/afl/>



# ClusterFuzz @ Chromium



bugs chromium [New issue](#) All issues

1 - 100 of 25423 [Next](#) [List](#)

ID	Pri	M	Stars	ReleaseBlock	Component	Status	Owner
<a href="#">1133812</a>	1	----	2	----	Blink>GetUserMedia/ <b>Webcam</b>	Untriaged	----
<a href="#">1133763</a>	1	----	1	----	----	Untriaged	----
<a href="#">1133701</a>	1	----	1	----	Blink>JavaScript	Untriaged	----
<a href="#">1133254</a>	1	----	2	----	----	Untriaged	----
<a href="#">1133124</a>	1	----	1	----	----	Untriaged	----
<a href="#">1133024</a>	2	----	3	----	Internals>Network	Started	dmcardle@ch
<a href="#">1132958</a>	1	----	2	----	UI>Accessibility, Blink>Accessibility	Assigned	sin...@chromi
<a href="#">1132907</a>	2	----	2	----	Blink>JavaScript>GC	Assigned	dinfuehr@chr



# Can fuzzing be applied to unit testing?



- Where “inputs” are not just strings or binary files?
- Yes! Possible to randomly generate strongly typed values, data structures, API calls, etc.
- Recall: Property-Based Testing

```
@Property
public void testSameLength(List<Integer> input) {
    var output : List<Integer> = sort(input);
    // Check length
    assert output.size() == input.size() : "Length should match";
}
```



# Generators



## Random List<Integer>

Exercise: Write a generator for  
Creating random HashMap<String, Integer>

```
List list = new ArrayList();
while (randomBoolean()) { // randomly stop/go
    list.append(randomInt()); // random element
}
return list;
```

```
List list = new ArrayList();
int len = randomInt(); // pick a random length
for (int i = 0 to len) {
    list.append(randomInt()); // random element
}
return list;
```



# Mutators



## Mutator for `list: List<Integer>`

```
int k = randomInt(0, len(list));
int action = randomChoice(ADD, DELETE, UPDATE);
switch (action) {
    case UPDATE: list.set(k, randomInt()); // update element at k
    case ADD: list.addAt(k, randomInt()); // add random element at k
    case DELETE: list.removeAt(k); // delete k-th element
}
```

Exercise: Write a mutator  
`HashMap<String, Integer>`



# The Fuzzing Book

<https://www.fuzzingbook.org/>

The screenshot shows the University of Sydney website profile for Dr. Rahul Gopinath. The header includes the university logo and navigation links: Study, Research, Engage with us, About us, and News & opinion. Below this is the Faculty of Engineering section with links for Study engineering, Schools, Our research, Industry and community, News and events, and About. The profile itself has a left sidebar with navigation: Home, About, Our people, and Academic staff. The main content area displays 'Dr Rahul Gopinath' with his title 'Lecturer, School of Computer Science' and a portrait photo. Contact information includes an email address (rahul.gopinath@sydney.edu.au), an address (J12 - Computer Science Building, The University of Sydney), and websites (https://rahul.gopinath.org and @\_rahulgopinath). A 'Biographical details' section follows, stating he is a Lecturer in the School of Computer science, with research in the junction between Software Engineering and Cybersecurity. A 'show more' link is at the bottom right of the bio section.

The screenshot shows the 'The Fuzzing Book' website. The top navigation bar is red with white text for 'The Fuzzing Book', 'About this Book', 'Resources', 'Share', and 'Help'. The main heading is 'The Fuzzing Book' in a large, bold, dark red font, followed by the subtitle 'Tools and Techniques for Generating Software Tests' and the authors: 'by Andreas Zeller, Rahul Gopinath, Marcel Böhme, Gordon Fraser, and Christian Holler'. Below this is the 'About this Book' section, which includes a welcome message and a paragraph about the book's focus on automating software testing. A code snippet shows a YouTube video import: 'from bookutils import YouTubeVideo YouTubeVideo("w4u5gCgPlmg")'. Below the code is a video player for 'Generating Software Tests' with a 'Copy link' button. The video player shows a play button and the title 'Generating Software Tests' with a subtitle 'Breaking Software for Fun and Profit'. Below the video player is a 'Watch on YouTube' button. The final section is 'A Textbook for Paper, Screen, and Keyboard', which states 'You can use this book in four ways:' and lists a bullet point: 'You can read chapters in your browser. Check out the list of chapters in the menu above, or start right away with the [introduction to](#)'.



# TESTING PERFORMANCE



# Performance Testing



- Goal: Identify *performance bugs*. What are these?
  - Unexpected bad performance on some subset of inputs
  - Performance degradation over time
  - Difference in performance across versions or platforms
- Not as easy as functional testing. What's the oracle?
  - Fast = good, slow = bad // but what's the threshold?
  - How to get reliable measurements?
  - How to debug where the issue lies?





# Performance Regression Testing



- Measure execution time of critical components
- Log execution times and compare over time

Job 12e96643840000

Issue 808613 · Analyze benchmark results · 2.0 hours · 2/14/2018, 9:48:34 AM

Differences found after commits

Re-record loading.desktop story set by ksakamoto@chromium.org

Job arguments

**benchmark** loading.desktop  
**chart** cpuTimeToFirstMeaningfulPaint  
**configuration** chromium-rel-mac11-pro  
**statistic** avg  
**story** Pantip  
**target** telemetry\_perf\_tests  
**tir\_label** warm  
**trace** Pantip



Re-record loading.desktop story set by ksakamoto@chromium.org

Build	Test	Values
<b>builder</b> Mac Builder	<b>task_id</b> 3baea4beaa71f1710	<b>trace</b> Pantip_2018-02-14_11-40-07_93865.html
<b>isolate_hash</b> 630b5fe7ae1b260e78db88233099249b5640517b	<b>bot_id</b> build197-b4	<b>trace</b> Pantip_2018-02-14_11-40-42_21734.html
	<b>isolate_hash</b> 146eb87de6d2594cc3a9ee9f3518f69fc3d0c2c3	--

Source: [https://chromium.googlesource.com/chromium/src/+refs/heads/main/docs/speed/addressing\\_performance\\_regressions.md](https://chromium.googlesource.com/chromium/src/+refs/heads/main/docs/speed/addressing_performance_regressions.md)



# Firefox

## A Study of Performance Variations in the Mozilla Firefox Web Browser



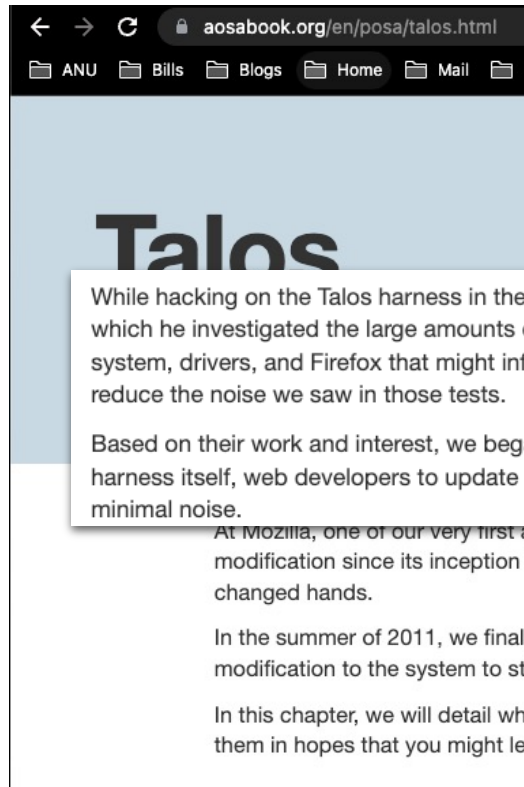
Jan Larres<sup>1</sup>

Alex Potanin<sup>1</sup>

Yuichi Hirose<sup>2</sup>

<sup>1</sup> School of Engineering and Computer Science  
Email: {larresjan,alex}@ecs.vuw.ac.nz

<sup>2</sup> School of Mathematics, Statistics and Operations Research  
Email: hirose@msor.vuw.ac.nz  
Victoria University of Wellington, New Zealand



While hacking on the Talos harness in the summer of 2011 to add support for new platforms and tests, we encountered the results from Jan Larres's master's thesis, in which he investigated the large amounts of noise that appeared in the Talos tests. He analyzed various factors including hardware, the operating system, the file system, drivers, and Firefox that might influence the results of a Talos test. Building on that work, Stephen Lewchuk devoted his internship to trying to statistically reduce the noise we saw in those tests.

Based on their work and interest, we began forming a plan to eliminate or reduce the noise in the Talos tests. We brought together harness hackers to work on the harness itself, web developers to update Graph Server, and statisticians to determine the optimal way to run each test to produce predictable results with minimal noise.

At Mozilla, one of our very first a... cannot easily be attributed to either genuine changes... Automated tests help with this balance by alert-... changed hands.

In the summer of 2011, we finally began to look askance at the noise and the variation in the Talos numbers, and we began to wonder how we could make some small modification to the system to start improving it. We had no idea we were about to open Pandora's Box.

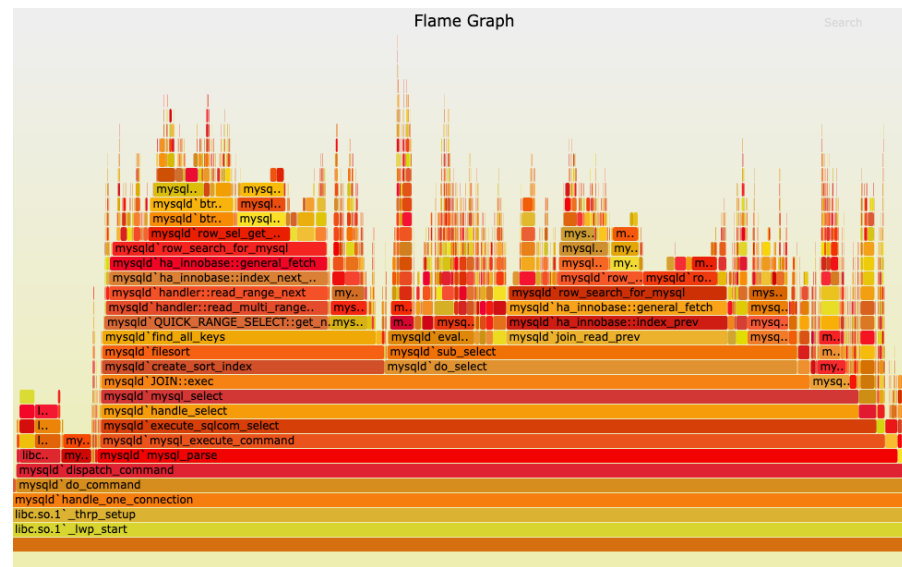
In this chapter, we will detail what we found as we peeled back layer after layer of this software, what problems we uncovered, and what steps we took to address them in hopes that you might learn from both our mistakes and our successes.



# Profiling



- Finding bottlenecks in execution time and memory
- Flame graphs are a popular visualization of resource consumption by call stack.



# Domain-Specific Perf Testing (e.g. JMeter)



<http://jmeter.apache.org>



# Performance-driven Design



- Modeling and simulation
  - e.g. queuing theory
- Specify load distributions and derive or test configurations

The screenshot displays a simulation environment with a central diagram showing a Client connected to a Server, which is connected to an Asset Database. The interface includes several panels:

- View Report - 3 - Multithreading and QueuingArchitecture Simulator**: Shows an Evaluation Summary table.
- Properties**: Contains configuration options for performance and error handling.

Property	Value
Scenario	Scenario1
Number of users	5
Transaction Generation Rate	3
Actual Simulation Load	0
Actual Network Load	0
No. of System Transactions Generated	{ST1=24, ST2=24}
No. of System Transactions Completed	{ST1=24, ST2=24}
Average System Transaction Completion Time	156938

**Properties Panel - Performance Values**

Transaction Complexity	Very Simple	Simple	Average
Minimum Value	1.02	1.041	1.06
Maximum Value	1.03	1.05	1.07

**Properties Panel - Error Handling**

Errors	Selected	Parameters	Value	Error Handling Mechanism
Process Crash	<input checked="" type="checkbox"/>	Successful system trans. (%)	99	Connect to another Thread, Log



# Stress testing



- Robustness testing technique: test beyond the limits of normal operation.
- Can apply at any level of system granularity.
- Stress tests commonly put a greater emphasis on robustness, availability, and error handling under a heavy load, than on what would be considered “correct” behavior under normal circumstances.



# Soak testing



- **Problem:** A system may behave exactly as expected under artificially limited execution conditions.
  - E.g., Memory leaks may take longer to lead to failure (also motivates static/dynamic analysis, but we'll talk about that later).
- **Soak testing:** testing a system with a significant load over a significant period of time (*positive*).
- Used to check reaction of a subject under test under a possible simulated environment for a given duration and for a given threshold.



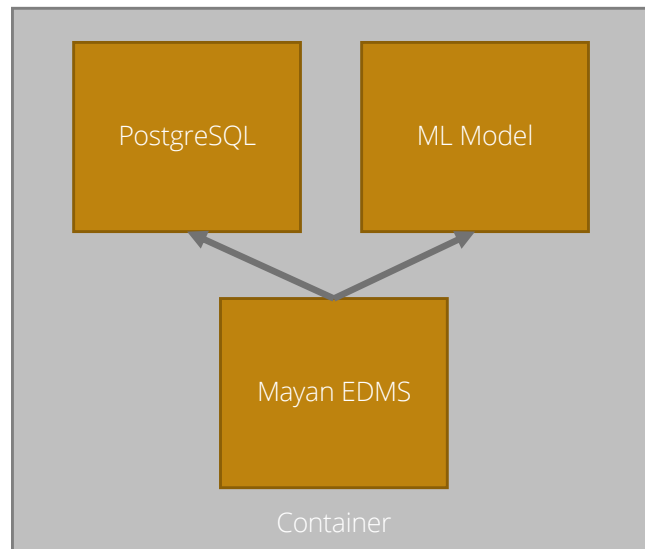
Slides credit Christopher Meiklejohn

# CHAOS ENGINEERING





# Monolithic Application



What kind of failures can happen here?

How likely is that error to happen?

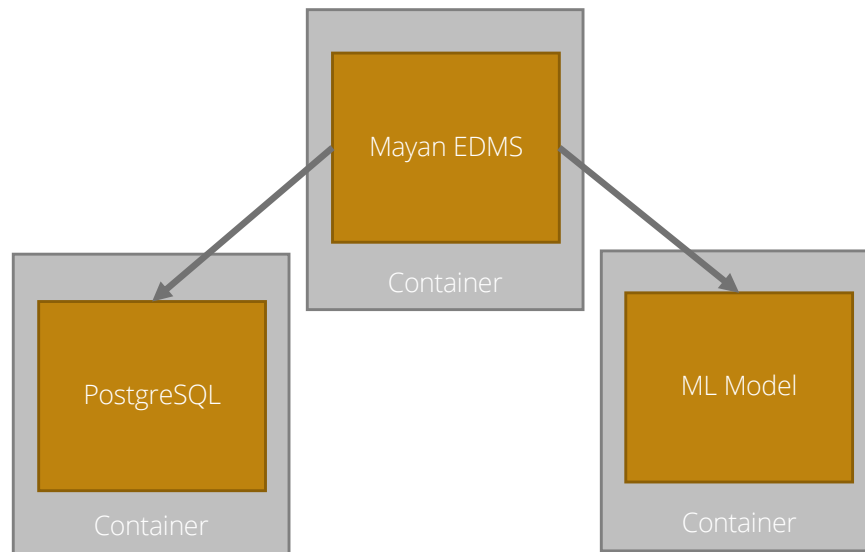
How do I fix it?




Microservice



# Microservice Application



Remember, these calls are messages sent on an **unreliable network**.



# Failures in Microservice Architectures



1. Network may **be partitioned**

2. Server instance **may be down**

3. Communication between services may **be delayed**

4. Server **could be overloaded** and responses delayed

5. Server **could run out of** memory or CPU

All of these issues  
**can be indistinguishable**  
from one another!

Making the calls across the network  
to multiple machines makes the  
probability that the system is  
operating under failure **much**  
**higher.**

These are the problems of  
**latency** and **partial failure.**



# Where Do We Start?



How do we even **begin to test these scenarios?**

Is there any **software** that can be used to test these types of failures?

Let's look at a **few ways** companies do this.



# Game Days



Purposely **injecting failures** into critical systems in order to:

- Identify **flaws** and “latent defects”
- Identify **subtle dependencies** (which may or may not lead to a flaw/defect)
- Prepare a **response** for a disastrous event

Comes from “resilience engineering” typical in high-risk industries

Practiced by Amazon, Google, Microsoft, Etsy, Facebook, Flickr, etc.



# Game Days



Our applications are built on and with “**unreliable**” components

**Failure is inevitable** (fraction of percent; at Google scale, ~multiple times)

Goals:

- **Preemptively trigger** the failure, observe, and fix the error
- Script testing of **previous failures** and ensure system remains resilient
- Build the necessary relationships between teams **before** disaster strikes



# Example: Amazon GameDay



Full data center destruction (Amazon EC2 region)

- No advanced notice of **which** data center will be taken offline
- No notice of **when** data center will be taken offline
- Only advanced notice of **when** GameDay **will be happening**
- **Real failures in the production environment**

Not all failures can be actually performed and must be **simulated!**

Discovered **latent defect** where the monitoring infrastructure responsible for detecting errors and paging employees **was located in the zone of the failure!**



# Cornerstones of Resilience



1. Anticipation: know what to expect
2. Monitoring: know what to look for
3. Response: know what to do
4. Learning: know what just happened  
(e.g, postmortems)





# Some Example Google Issues



Terminate network in Sao Paulo for testing:

- Hidden dependency takes down links in Mexico which would have remained undiscovered without testing

Turn off data center to find that machines won't come back:

- Ran out of DHCP leases (for IP address allocation) when a large number of machines come back online unexpectedly.



# Netflix: Cloud Computing



Significant deployment in Amazon Web Services in order to remain **elastic** in times of high and low load (first public, 100% w/o content delivery.)

Pushes code into production and modifies runtime configuration hundreds of times a day

Key metric: **availability**





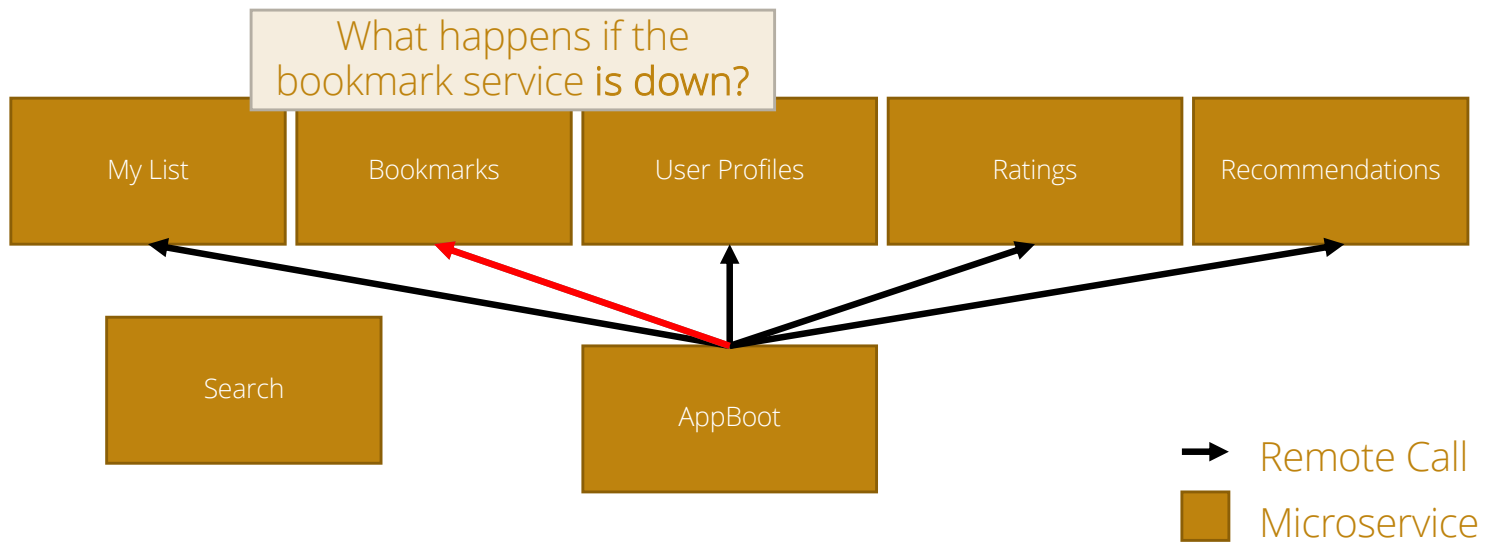
# Chaos monkey/Simian army



- A Netflix infrastructure testing system.
- “Malicious” programs randomly trample on components, network, datacenters, AWS instances...
  - Chaos monkey was the first – disables production instances at random.
  - Other monkeys include Latency Monkey, Doctor Monkey, Conformity Monkey, etc... Fuzz testing at the infrastructure level.
  - Force failure of components to make sure that the system architecture is resilient to unplanned/random outages.
- Netflix has open-sourced their chaos monkey code.



# Netflix UI: AppBoot



# Graceful Degradation: Anticipating Failure



Allow the system to degrade in a way it's still usable

Fallbacks:

- Cache miss due to failure of cache;
- Go to the bookmarks service and use value at possible latency penalty

Personalized content, use a reasonable default instead:

- What happens if **recommendations** are unavailable?
- What happens if **bookmarks are unavailable**?



# Principles of Chaos Engineering



1. Build a **hypothesis** around steady state behavior

2. Vary **real-world events**

experimental events, crashes, etc.

Does everything seem to be working properly?

Are users complaining?

3. Run **experiments** in production

control group vs. experimental group

draw conclusions, invalidate hypothesis

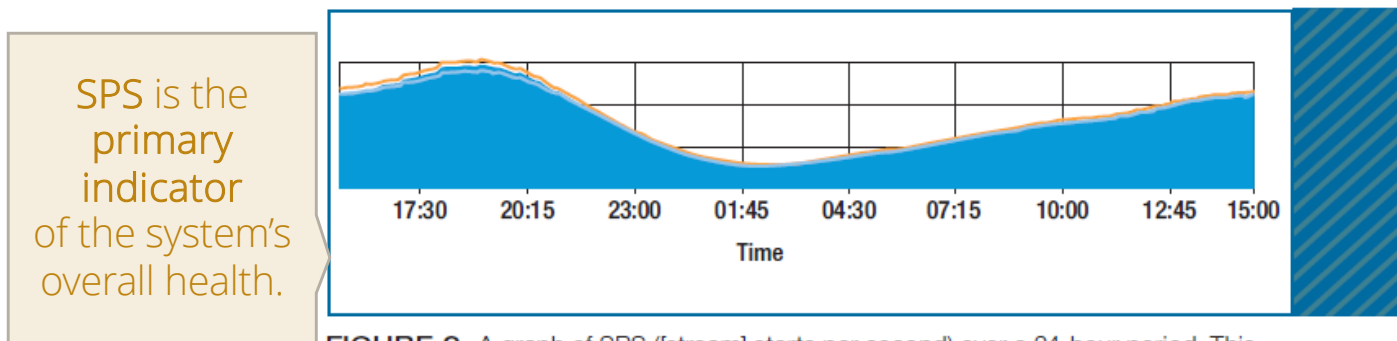
4. **Automate experiments** to run continuously



# Steady State Behavior



Back to **quality attributes: availability!**



**FIGURE 2.** A graph of SPS ([stream] starts per second) over a 24-hour period. This metric varies slowly and predictably throughout a day. The orange line shows the trend for the prior week. The y-axis isn't labeled because the data is proprietary.





## Mini Break in Monday Lecture



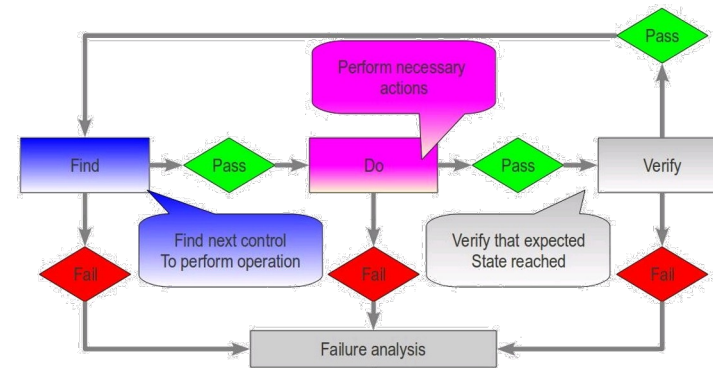
# TESTING USABILITY



# Automating GUI/Web Testing



- This is hard
- Capture and Replay Strategy
  - mouse actions
  - system events
- Test Scripts: (click on button labeled "Start" expect value X in field Y)
- Lots of tools and frameworks
  - e.g. Selenium for browsers
- (Avoid load on GUI testing by separating model from GUI)
- Beyond functional correctness?



# Manual Testing

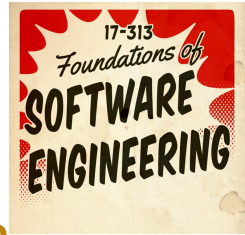
- Live System?
- Extra Testing System?
- Check output / assertions?
- Effort, Costs?
- Reproducible?
- Higher Quality Feedback to Developers

GENERIC TEST CASE: USER SENDS MMS WITH PICTURE ATTACHED.

Step ID	User Action	System Response
1	Go to Main Menu	Main Menu appears
2	Go to Messages Menu	Message Menu appears
3	Select "Create new Message"	Message Editor screen opens
4	Add Recipient	Recipient is added
5	Select "Insert Picture"	Insert Picture Menu opens
6	Select Picture	Picture is Selected
7	Select "Send Message"	Message is correctly sent



# Usability: A/B testing



- Controlled randomized experiment with two variants, A and B, which are the control and treatment.
- One group of users given A (current system); another random group presented with B; outcomes compared.
- Often used in web or GUI-based applications, especially to test advertising or GUI element placement or design decisions.



# Example



- A company sends an advertising email to its customer database, varying the photograph used in the ad...



# Example: group A (99% of users)



# Example: group B (1%)





# A/B Testing



- Requires good metrics and statistical tools to identify significant differences.
- E.g. clicks, purchases, video plays
- Must control for confounding factors



# What smells?

```
1 class Foo {
2     int a; int b;
3
4     public boolean equals(Object other) {
5         Foo foo = (Foo) other;
6         if (foo != null)
7             if (foo.a != this.a)
8                 return false;
9             if (foo.b == this.b)
10                return true;
11            else return false;
12        }
13
14        public int a() {
15            return this.a();
16        }
17
18        public int b() {
19            return this.b();
20        }
21    }
```



# What smells?

```
1 int dtls1_process_heartbeat(SSL *s)
2 {
3     unsigned char *p = &s->s3->rrec.data[0], *pl;
4     unsigned short hbtype;
5     unsigned int payload;
6     unsigned int padding = 16; /* Use minimum padding */
7
8     /* Read type and payload length first */
9     hbtype = *p++;
10    n2s(p, payload);
11    pl = p;
12
13    if (s->msg_callback)
14        s->msg_callback(0, s->version, TLS1_RT_HEARTBEAT,
15                        &s->s3->rrec.data[0], s->s3->rrec.length,
16                        s, s->msg_callback_arg);
17
18    if (hbtype == TLS1_HB_REQUEST)
19    {
20        unsigned char *buffer, *bp;
21        int r;
22
23        /* Allocate memory for the response, size is 1 byte
24         * message type, plus 2 bytes payload length, plus
25         * payload, plus padding
26         */
27        buffer = OPENSSL_malloc(1 + 2 + payload + padding);
28        bp = buffer;
29
30        /* Enter response type, length and copy payload */
31        *bp++ = TLS1_HB_RESPONSE;
32        s2n(payload, bp);
33        memcpy(bp, pl, payload);
34        bp += payload;
35        /* Random padding */
36        RAND_pseudo_bytes(bp, padding);
37
38        r = dtls1_write_bytes(s, TLS1_RT_HEARTBEAT, buffer, 3 + payload + padding);
```



# Static Analysis



- Try to discover issues by analyzing source code. No need to run.
- Defects of interest may be on uncommon or difficult-to-force execution paths for testing.
- **What we really want to do is check the entire possible state space of the program for particular properties.**



# Defects Static Analysis can Catch



- **Defects that result from inconsistently following simple design rules.**
  - **Security:** Buffer overruns, improperly validated input.
  - **Memory safety:** Null dereference, uninitialized data.
  - **Resource leaks:** Memory, OS resources.
  - **API Protocols:** Device drivers; real time libraries; GUI frameworks.
  - **Exceptions:** Arithmetic/library/user-defined
  - **Encapsulation:** Accessing internal data, calling private functions.
  - **Data races:** Two threads access the same data without synchronization

**Key: check compliance to simple, mechanical design rules**





github.com/marketplace?category=code-quality

Search or jump to... Pull requests Issues Marketplace Explore

Marketplace Search results

Types

Apps

Actions

Categories

- API management
- Chat
- Code quality**
- Code review
- Continuous integration
- Dependency management
- Deployment
- IDEs
- Learning
- Localization
- Mobile
- Monitoring
- Project management
- Publishing
- Recently added
- Security
- Support
- Testing
- Utilities

Filters

Verification

Verified

Unverified

Your items

Purchases

### Code quality

Automate your code review with style, quality, security, and test-coverage checks when you need them.

245 results filtered by Code quality

<b>CodeScene</b> The analysis tool to identify and prioritize technical debt and evaluate your organizational efficiency	<b>TestQuality</b> Modern, powerful, test plan management
<b>CodeFactor</b> Automated code review for GitHub	<b>Restyled.io</b> Restyle Pull Requests as they're opened
<b>DeepScan</b> Advanced static analysis for automatically finding runtime errors in JavaScript code	<b>LGTM</b> Find and prevent zero-days and other critical bugs, with customizable alerts and automated code review
<b>Datree</b> Policy enforcement solution for confident and compliant code	<b>Lucidchart Connector</b> Insert a public link to a Lucidchart diagram so team members can quickly understand an issue or pull request
<b>DeepSource</b> Discover bug risks, anti-patterns and security vulnerabilities before they end up in production. For Python and Go	<b>Code Inspector</b> Code Quality, Code Reviews and Technical Debt evaluation made easy
<b>Codecov</b> Group, merge and compare coverage reports	<b>codebeat</b> Code review expert on demand. Automated for mobile and web
<b>Codacy</b> Automated code reviews to help developers ship better software, faster	<b>Better Code Hub</b> A Benchmarked Definition of Done for Code Quality
<b>Code Climate</b> Automated code review for technical debt and test coverage	<b>Coveralls</b> Ensure that new code is fully covered, and see coverage trends emerge. Works with any CI service
<b>Sider</b> Automatically analyze pull request against custom per-project rulesets and best practices	<b>Imgbot</b> A GitHub app that optimizes your images
<b>codeingo</b> Your Code, Your Rules - Automate code reviews with your own best practices	<b>Check TODO</b> Checks for any added or modified TODO items in a Pull Request

Previous Next

Also recommended for you

<https://github.com/marketplace?category=api-management>

<https://github.com/marketplace?category=code-quality>



```
package com.google.devtools.staticanalysis;
```

```
public class Test {
```

▼ Lint Missing a Javadoc comment.

Java  
1:02 AM, Aug 21

[Please fix](#)

[Not useful](#)

```
    public boolean foo() {  
        return getString() == "foo".toString();  
    }
```

//depot/google3/java/com/google/devtools/staticanalysis/Test.java

```
package com.google.devtools.staticanalysis;
```

```
public class Test {  
    public boolean foo() {  
        return getString() == "foo".toString();  
    }
```

```
    public String getString() {  
        return new String("foo");  
    }  
}
```

Apply

Cancel

```
package com.google.devtools.staticanalysis;
```

```
import java.util.Objects;
```

```
public class Test {  
    public boolean foo() {  
        return Objects.equals(getString(), "foo".toString());  
    }
```

```
    public String getString() {  
        return new String("foo");  
    }  
}
```



# How do they work?

```
1 class Foo {
2     int a; int b;
3
4     public boolean equals(Object
5         Foo foo = (Foo) other;
6         if (foo != null)
7             if (foo.a != this.a)
8                 return false;
9             if (foo.b == this.b)
10                return true;
11                else return false;
12    }
13
14    public int a() {
15        return this.a();
16    }
17
18    public int b() {
19        return this.b();
20    }
21 }
```

```
1 int dtls1_process_heartbeat(SSL *s)
2 {
3     unsigned char *p = &s->s3->rrec.data[0], *pl;
4     unsigned short hbtype;
5     unsigned int payload;
6     unsigned int padding = 16; /* Use minimum padding */
7
8     /* Read type and payload length first */
9     hbtype = *p++;
10    n2s(p, payload);
11    pl = p;
12
13    if (s->msg_callback)
14        s->msg_callback(0, s->version, TLS1_RT_HEARTBEAT,
15            &s->s3->rrec.data[0], s->s3->rrec.length,
16            s, s->msg_callback_arg);
17
18    if (hbtype == TLS1_HB_REQUEST)
19    {
20        unsigned char *buffer, *bp;
21        int r;
22
23        /* Allocate memory for the response, size is 1 byte
24         * message type, plus 2 bytes payload length, plus
25         * payload, plus padding
26         */
27        buffer = OPENSSL_malloc(1 + 2 + payload + padding);
28        bp = buffer;
29
30        /* Enter response type, length and copy payload */
31        *bp++ = TLS1_HB_RESPONSE;
32        s2n(payload, bp);
33        memcpy(bp, pl, payload);
34        bp += payload;
35        /* Random padding */
36        RAND_pseudo_bytes(bp, padding);
37
38        r = dtls1_write_bytes(s, TLS1_RT_HEARTBEAT, buffer, 3 + payload + padding);
```





# Two fundamental concepts



- **Abstraction.**

- Elide details of a specific implementation.
- Capture semantically relevant details; ignore

- **Programs as data.**

- Programs are just trees/graphs!
- ...and we know lots of ways to analyze trees/graphs, right?



# Defining Static Analysis



- **Systematic** examination of an **abstraction** of program state space.
  - Does not execute code! (like code review)
- **Abstraction:** A representation of a program that is simpler to analyze.
  - Results in fewer states to explore; makes d
- Check if a **particular property** holds over the entire state space:
  - Liveness: “something good eventually happens.”
  - Safety: “this bad thing can’t ever happen.”
  - Compliance with mechanical design rules.



# The Bad News: Rice's Theorem



Every static analysis is necessarily incomplete or unsound or undecidable (or multiple of these)

"Any nontrivial property about the language recognized by a Turing machine is undecidable."

Henry Gordon Rice, 1953



# SIMPLE SYNTACTIC AND STRUCTURAL ANALYSES



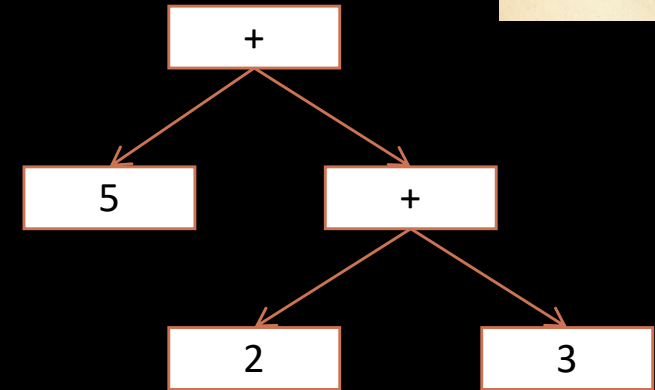
# Type Analysis

```
public void foo() {  
    int a = computeSomething();  
  
    if (a == "5")  
        doMoreStuff();  
}
```



# Abstraction: abstract syntax tree

- Tree representation of the syntactic structure of source code.
  - Parsers convert concrete syntax into abstract syntax, and deal with resulting ambiguities.
- Records only the semantically relevant information.
  - Abstract: doesn't represent every detail (like parentheses); these can be inferred from the structure.
- (How to build one? Take compilers!)

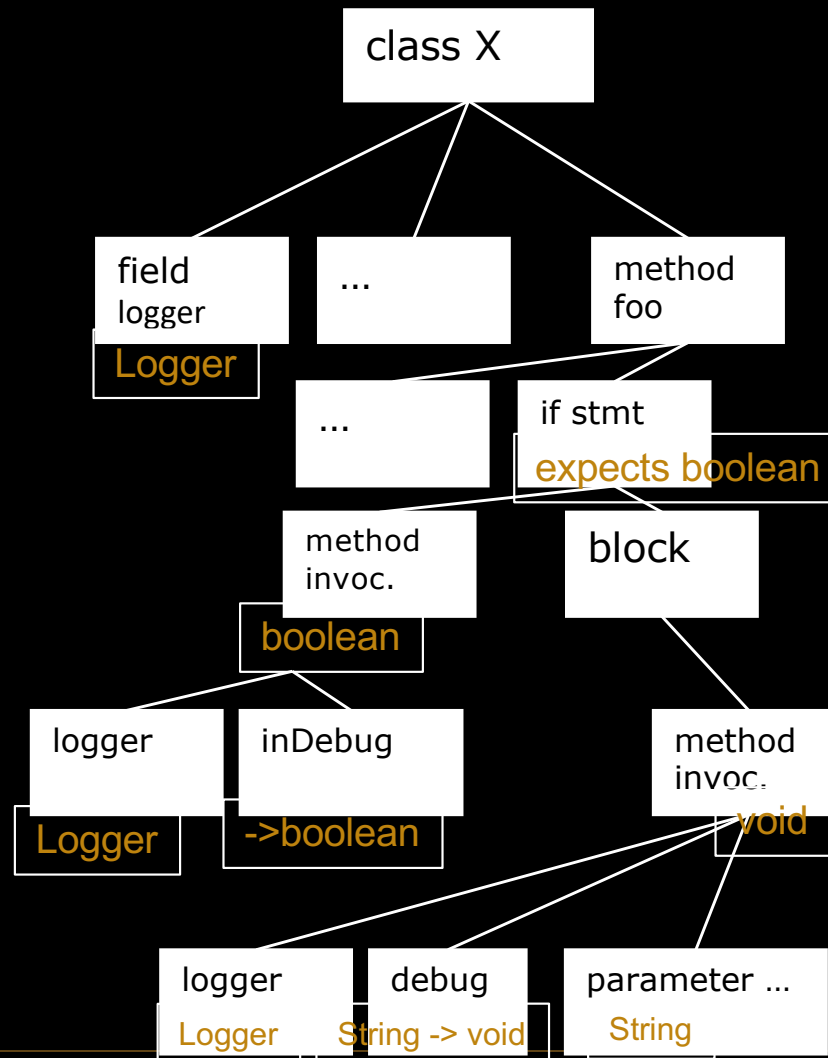


Example:  $5 + (2 + 3)$



# Type checking

```
class X {  
  Logger logger;  
  public void foo() {  
    ...  
    if (logger.inDebug()) {  
      logger.debug("We have " +  
conn + "connections.");  
    }  
  }  
}  
class Logger {  
  boolean inDebug() {...}  
  void debug(String msg) {...}  
}
```



# Syntactic Analysis

Find every occurrence of this pattern:

```
public foo() {  
    ...  
    logger.debug("We have " + conn + "connections.");  
}
```

```
public foo() {  
    ...  
    if (logger.isDebugEnabled()) {  
        logger.debug("We have " + conn + "connections.");  
    }  
}
```

`grep "if \(logger\.isEnabledForDebugging" . -r`





# Abstract syntax tree walker

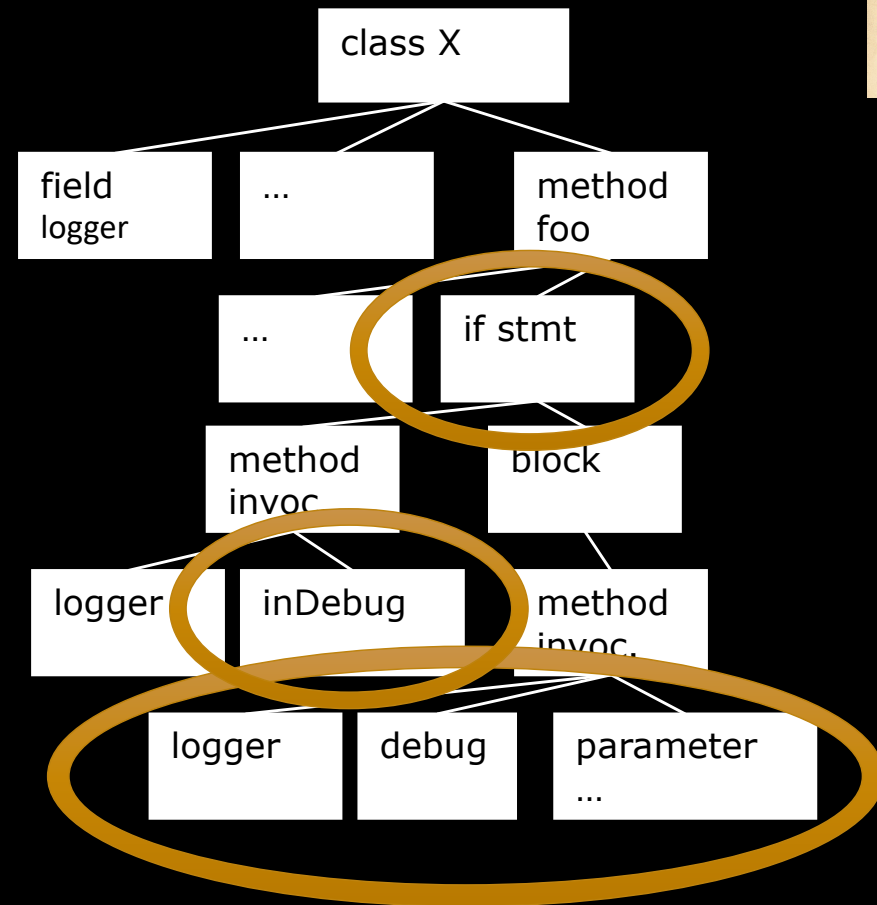


- Check that we don't create strings outside of a `Logger.inDebug` check
- Abstraction:
  - Look only for calls to `Logger.debug()`
  - Make sure they're all surrounded by `if(Logger.inDebug())`
- Systematic: Checks all the code
- Known as an Abstract Syntax Tree (AST) walker
  - Treats the code as a structured tree
  - Ignores control flow, variable values, and the heap
  - Code style checkers work the same way



# Structural Analysis

```
class X {  
    Logger logger;  
    public void foo() {  
        ...  
        if (logger.inDebug()) {  
            logger.debug("We have " +  
conn + "connections.");  
        }  
    }  
}  
class Logger {  
    boolean inDebug() {...}  
    void debug(String msg) {...}  
}
```



# Structural analysis for possible NPEs?

```
1  if (foo != null)
2      foo.a();
3  foo.b();
4
```



# Which of these should be flagged for NPE?

Surely safe? Surely bad? Suspicious?

// Limitations of structural analysis

A

```
1 if (foo != null)
2     foo.a();
3 foo.b();
```

B

```
1 if (foo == null)
2     foo = new Foo();
3 foo.b();
```

C

```
1 if (foo != null)
2     foo.a();
3 else
4     foo = new Foo();
5
6 foo.b();
```

D

```
1 if (foo != null)
2     foo.a();
3 else
4     foo.b();
```



# CONTROL-FLOW AND DATA-FLOW ANALYSIS



# Control/Dataflow analysis

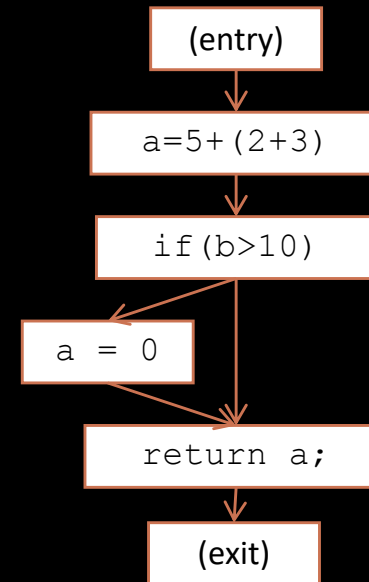
- **Reason** about all possible executions, via paths through a *control flow graph*.
  - Track information relevant to a property of interest at every *program point*.
- Define an **abstract domain** that captures only the values/states relevant to the property of interest.
- **Track** the abstract state, rather than all possible concrete values, for all possible executions (paths!) through the graph.



# Control flow graphs

- A tree/graph-based representation of the flow of control through the program.
  - Captures all possible execution paths.
- Each node is a basic block: no jumps in or out.
- Edges represent control flow options between nodes.
- *Intra-procedural*: within one function.
  - cf. inter-procedural

```
1. a = 5 + (2 + 3)
2. if (b > 10) {
3.     a = 0;
4. }
5. return a;
```



# How can CFG be used to identify this issue?

```
public int foo() {  
    doStuff();  
  
    return 3;  
  
    doMoreStuff();  
    return 4;  
}
```





# NPE analysis revisited

A

```
1 if (foo != null)
2     foo.a();
3 foo.b();
4
```

B

```
1 if (foo == null)
2     foo = new Foo();
3 foo.b();
```

C

```
1 if (foo != null)
2     foo.a();
3 else
4     foo = new Foo();
5
6 foo.b();
```

D

```
1 if (foo != null)
2     foo.a();
3 else
4     foo.b();
```

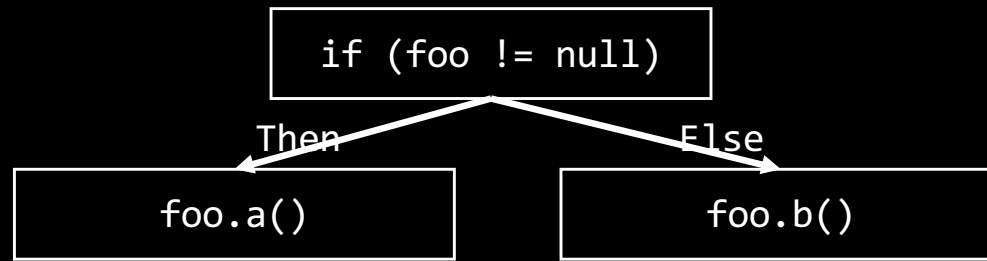


# Abstract Domain for NPE Analysis

- Map of Var  $\rightarrow$  {Null, NotNull, Unknown}
- For example:
  - foo  $\rightarrow$  Null
  - bar  $\rightarrow$  NonNull
  - baz  $\rightarrow$  Unknown
- Mapping tracked at every program point (before/after each CFG node). Updated across nodes and edges.
- // let's say foo  $\rightarrow$  Null and bar  $\rightarrow$  Null  
foo = new Foo();  
// at this point, we have foo  $\rightarrow$  NotNull and bar  $\rightarrow$  Null



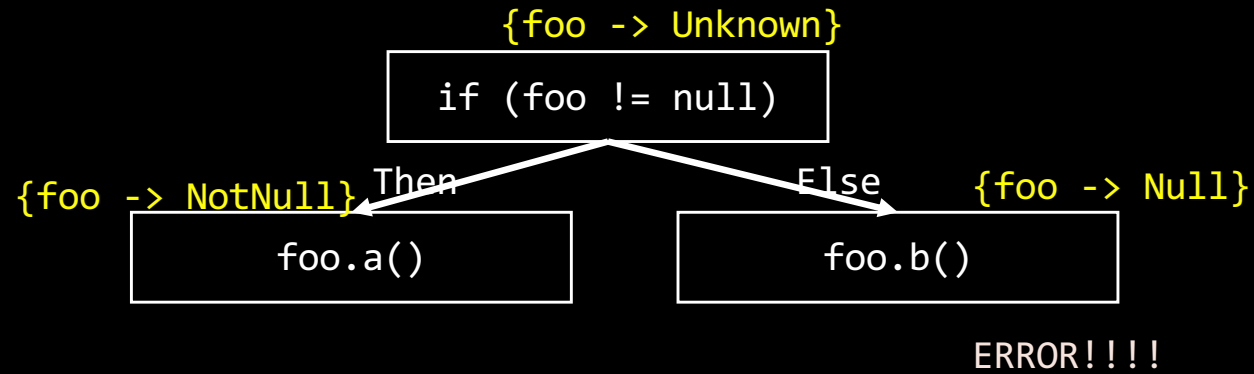
# Data-Flow Analysis Examples



```
1  if (foo != null)
2      foo.a();
3  else
4      foo.b();
```



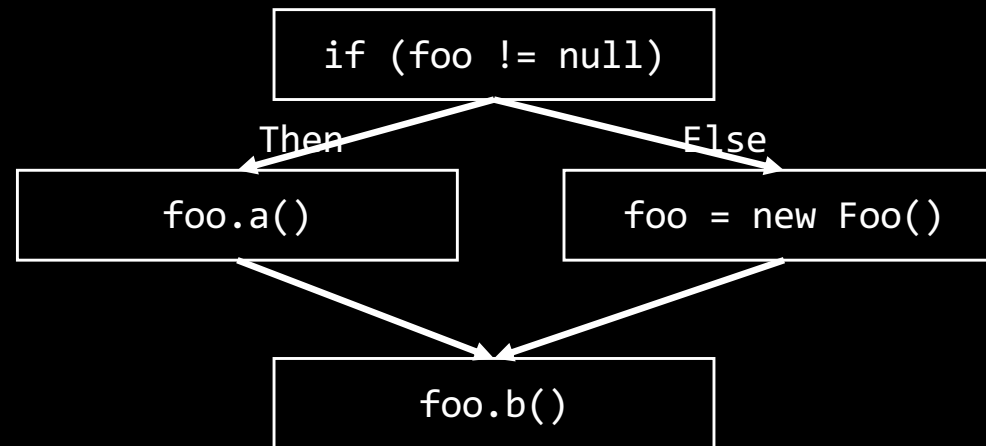
# Data-Flow Analysis Examples



```
1  if (foo != null)
2      foo.a();
3  else
4      foo.b();
```



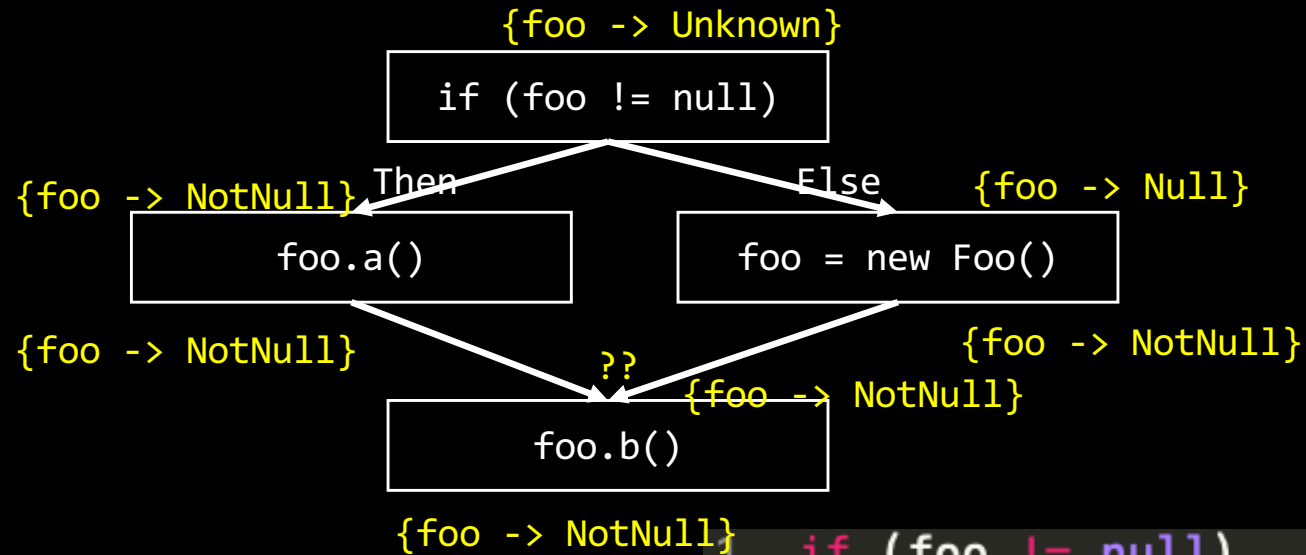
# Data-Flow Analysis Examples



```
1  if (foo != null)
2      foo.a();
3  else
4      foo = new Foo();
5
6  foo.b();
```



# Data-Flow Analysis Examples



```
1 if (foo != null)
2     foo.a();
3 else
4     foo = new Foo();
5
6 foo.b();
```



# Data-Flow Analysis Examples

Exercise: Work this out for yourself. Is `foo.b()` safe?

```
1  if (foo == null)
2      foo = new Foo();
3  foo.b();
```



# Data-Flow Analysis Examples



```
if (foo == null)
    Then           Else
    foo = new Foo()

    foo.b()
```

```
1  if (foo == null)
2      foo = new Foo();
3  foo.b();
```





# Data-Flow Analysis Examples



```
                                {foo -> Unknown}
                                if (foo == null)
{foo -> Null}      Then                Else      {foo -> NotNull}
                    foo = new Foo()
{foo -> NotNull}
                                {foo -> NotNull}
                                {foo -> NotNull}
                                foo.b()
                                {foo -> NotNull}
```

```
1  if (foo == null)
2      foo = new Foo();
3  foo.b();
```

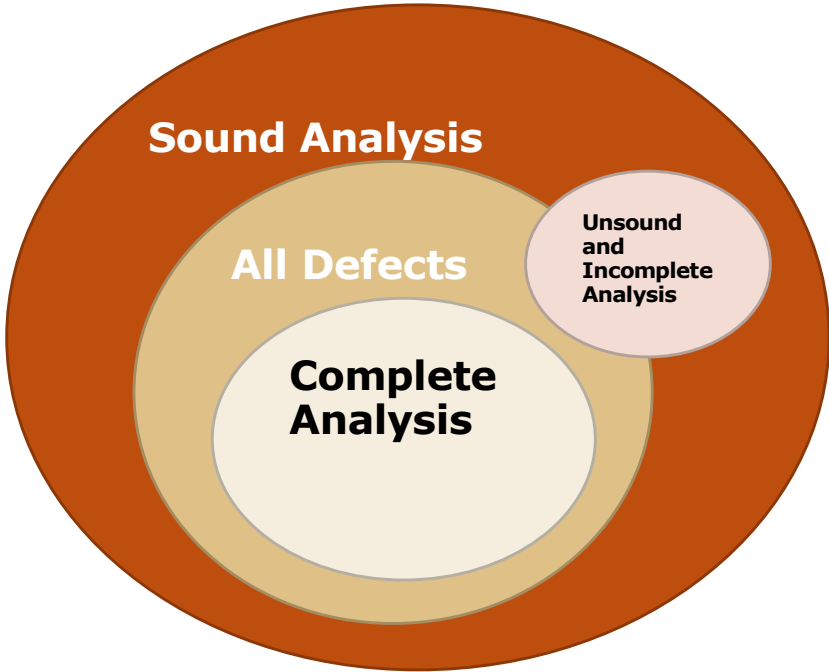


# Interpreting abstract states



- “Null” means “must be NULL at this point, regardless of path taken”
- “NotNull” is similar
- “Unknown” means “may be NULL or not null depending on the path taken”
  
- Unknown must be dealt with due to Rice’s theorem
  - Can make analysis smarter (at the cost of more algorithmic complexity) to reduce Unknowns, but can’t get rid of them completely
  
- Whether to raise a flag on UNKNOWN access depends on usability/soundness.
  - False positives if warning on UNKNOWN
  - False negatives if no warning on UNKNOWN





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## Formalisation and implementation of an algorithm for bytecode verification of @NonNull types

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# Examples of Data-Flow Analyses



- Null Analysis
  - Var -> {Null, NotNull, UNKNOWN}
- Zero Analysis
  - Var -> {Zero, NonZero, UNKNOWN}
- Sign Analysis
  - Var -> {-, +, 0, UNKNOWN}
- Range Analysis
  - Var -> {[0, 1], [1, 2], [0, 2], [2, 3], [0, 3], ..., UNKNOWN}
- Constant Propagation
  - Var -> {1, 2, 3, ..., UNKNOWN}
- File Analysis
  - File -> {Open, Close, UNKNOWN}
- Tons more!!!



# Data-Flow Analysis: Challenges



- Loops
  - Fixed-point algorithms guarantee termination at the cost of losing information (“Unknown”)
- Functions
  - Analyze them separately or analyze whole program at once
  - “Context-sensitive” analyses specialize on call sites (think: duplicate function body for every call site via inlining)
- Recursion
  - Makes context-sensitive analyses explode (cf. loops)
- Object-oriented programming
- Heap memory
  - Need to abstract mapping keys not just values
- Exceptions



# Static Analysis vs. Testing



- Which one to use when?
- Points in favor of Static Analysis
  - Don't need to set up run environment, etc.
  - Can analyze functions/modules independently and in parallel
  - Don't need to think of (or try to generate) program inputs
- Points in favor of Testing / Dynamic Analysis
  - Not deterred by complex program features
  - Can easily handle external libraries, platform-specific config, etc.
  - Ideally no false positives
  - Easier to debug when a failure is identified



# Key Points



- Describe random test-input generation strategies such as fuzz testing
- Write generators and mutators for fuzzing different types of values
- Characterize challenges of performance testing and suggest strategies
- Reason about failures in microservice applications
- Describe chaos engineering and how it can be applied to test resiliency of cloud-based applications
- Describe A/B testing for usability



# Key Points



- Give a one sentence definition of static analysis. Explain what types of bugs static analysis targets.
- Give an example of syntactic or structural static analysis.
- Construct basic control flow graphs for small examples by hand.
- Give a high-level description of dataflow analysis and cite some example analyses.
- Explain at a high level why static analyses cannot be sound, complete, and terminating; assess tradeoffs in analysis design.
- Characterize and choose between tools that perform static analyses.
- Contrast static analysis tools with software testing and dynamic analysis tools as a means of catching bugs.

