

Qualitative Calculi via Relation Algebras. Part II

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In this part we assume that all algebras are **simple**. This is just for convenience... mainly.

Networks and games

Pre-networks and networks

Definition

Let \mathbf{A} be a nonassociative relation algebra.

- ▶ A **pre-network** over \mathbf{A} is a nonempty, *complete* directed graph N with each edge (x, y) labelled by an element $N(x, y)$ of \mathbf{A} .
- ▶ A **network** over \mathbf{A} is a pre-network, satisfying the **consistency conditions**
 1. $N(x, x) \leq 1'$,
 2. $(N(x, y) ; N(y, z)) \wedge N(x, z) \neq 0$,for all nodes $x, y, z \in N$.
- ▶ An **atomic network** is a network such that each edge is labelled by an atom of \mathbf{A} .
- ▶ A network N is **strict**, if $N(x, y) \leq 1'$ implies $x = y$.

Refinement

Definition

Let N_i and N_j be pre-networks over an algebra \mathbf{A} . We say that N_j **refines** N_i , and write $N_i \leq N_j$, if

- ▶ the vertices of N_i are a subset of the vertices of N_j , and
- ▶ for any $(x, y) \in N_i \times N_i$ we have $\mathbf{A} \models N_j(x, y) \leq N_i(x, y)$.

Exercise 8

Let N_i be a network, and let N_j be a pre-network that refines N_i . Is N_j a network?

Hirsch & Hodkinson Games

Definition (Game setup)

Let $n \leq \omega$. For an algebra \mathbf{A} and a pre-network over \mathbf{A} , we define a game $\mathcal{G}_n(N, \mathbf{A})$ in which two players, \forall and \exists , construct a sequence of pre-networks over \mathbf{A} :

$$N = N_0 \leq N_1 \leq N_2 \leq \dots \leq \begin{cases} N_n & \text{if } n < \omega, \\ N_n \leq \dots & \text{if } n = \omega. \end{cases}$$

Definition (Moves of $\mathcal{G}_n(N, \mathbf{A})$)

Assume t is the current round, that is, we have a pre-network N_t . Then \forall and \exists move as follows.

- ▶ \forall chooses elements $x, y \in N_t$, and elements $r, s \in A$.
- ▶ \exists responds with a pre-network $N_{t+1} \geq N_t$, such that either of the following holds:
 - (R) N_{t+1} is the same as N_t except that
$$N_{t+1}(x, y) = N_t(x, y) \wedge (r; s)^-$$
 - (A) N_{t+1} has one node z in addition to those of N_t and the labels on the edges of N_{t+1} are as follows:
 - ▶ $N_{t+1}(x, z) = r, N_{t+1}(z, z) = 1', N_{t+1}(z, y) = s$
 - ▶ $N_{t+1}(x, y) = N_t(x, y) \wedge (r; s)$
 - ▶ for any $(x', y') \in N_t \times N_t$, if $(x', y') \neq (x, y)$, then
$$N_{t+1}(x', y') = N_t(x', y').$$
 - ▶ all other edges of N_{t+1} are labelled by 1.

Winning

Definition

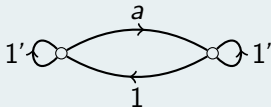
- ▶ \exists **wins** $\mathcal{G}_n(N, \mathbf{A})$, if every pre-network constructed during the game is a network (i.e., satisfies consistency conditions). Otherwise, \forall wins.
- ▶ \exists has a **winning strategy** for $\mathcal{G}_n(N, \mathbf{A})$ if she can win regardless of the moves \forall makes.
- ▶ Intuitively, \exists having a winning strategy means she can survive $\mathcal{G}_n(N, \mathbf{A})$ without running into a contradiction. If $n = \omega$, she can survive forever.
- ▶ Even if \exists wins, the final network constructed may not be strict. But this is not a problem, as we can quotient out what we need.

Representability

Representation of RAs by games

Theorem (Hirsch, Hodkinson)

Let \mathbf{A} be an at most countable, *simple* relation algebra. For any $a \in A$ we let I_a be a *network*



Then, the following are equivalent

1. \exists has a winning strategy in any game $\mathcal{G}_\omega(I_a, \mathbf{A})$.
2. \mathbf{A} has a square representation.
3. \mathbf{A} is *representable*.

Sketch of proof

Lemma (H & H)

Let N be a network over \mathbf{A} . The relation \sim on N defined by $x \sim y$ iff $N(x, y) \leq 1'$ is a congruence. The quotient N/\sim is a strict network.

Proof.

- ▶ Since \exists has a winning strategy, she can survive forever.
- ▶ The final network may not be strict, but by the lemma above, we make it strict, and get a representation. \square

Comments

- ▶ By universal algebra, a RA \mathbf{B} is representable iff every countable subalgebra of \mathbf{B} is, so the restriction to countable \mathbf{A} is harmless.
- ▶ Since every RA is isomorphic to a direct product of simple RAs, the restriction to simple RA \mathbf{A} is harmless.
- ▶ Even if \mathbf{A} is finite and \exists has a winning strategy, we may still need an infinitely long game.

Qualitative representability games

- ▶ There are many ways of modifying Hirsch & Hodkinson games to match the weaker notion of qualitative representability.
- ▶ I will present the one I like, but it is neither better nor worse than others.

Intuition.

- ▶ During the game we construct consistent triangles.
- ▶ For RAs, \exists must be able to build a consistent triangle on any edge with which it is consistent.
- ▶ For QRAs, \exists may dodge a little and only build a consistent triangle on **another** edge of her choice.

Definition (Moves of $\mathcal{QG}_n(N, \mathbf{A})$)

Assume t is the current round, that is, we have a pre-network N_t . Then \forall and \exists move as follows.

- ▶ \forall chooses elements $x, y \in N_t$, and elements $r, s \in A$, such that $\mathbf{A} \models N(x, y) \wedge r ; s \neq 0$.
- ▶ \exists responds with a pre-network $N_{t+1} \geq N_t$ and elements $u, v \in N_t$, such that:
 - (QA) N_{t+1} has one node z in addition to those of N_t and the labels on the edges of N_{t+1} are as follows:
 - ▶ $N_{t+1}(u, v) \leq N_{t+1}(x, y)$
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Winning is defined exactly as before

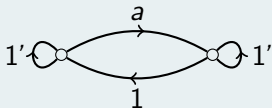
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Qualitative representability by games

Theorem

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Then, the following are equivalent

1. \exists has a winning strategy in any game $QG_\omega(I_a, \mathbf{A})$.
2. \mathbf{A} has a qualitative representation.

► Unlike RAs, finite QRAs are finitely representable.

Qualitative representability for finite algebras

Lemma (Hirsch, Jackson, TK)

Let \mathbf{A} be a finite NA. The following are equivalent.

- ▶ \mathbf{A} has a qualitative representation.
- ▶ There is an atomic network N over \mathbf{A} such that for each consistent triple of atoms (a, b, c) of \mathbf{A} there are nodes $x, y, z \in N$ such that $N(x, y) = a$, $N(y, z) = b$ and $N(x, z) = c$.

Lemma (Hirsch, Jackson, TK)

If \mathbf{A} is a qualitatively representable atomic algebra then \mathbf{A} has a representation with at most $3|\text{At}(\mathbf{A})|^3$ points in its base.

- ▶ Typically, a lot less than $3|\text{At}(\mathbf{A})|^3$.

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Qualitative representations of non-representable RAs and of NAs

Representing the non-representable

Let us play games $\mathcal{G}_n(I_a, \mathbf{A})$ and $\mathcal{QG}_n(I_a, \mathbf{A})$ with \mathbf{A} being the algebra below.

;		=	<	>	#
=		=	<	>	#
<		<	<	1	<, #
>		>	1	>	>, #
#		#	<, #	>, #	=, <, >

- ▶ $\mathcal{G}_n(I_a, \mathbf{A})$: player \forall wins.
- ▶ $\mathcal{QG}_n(I_a, \mathbf{A})$: player \exists wins!

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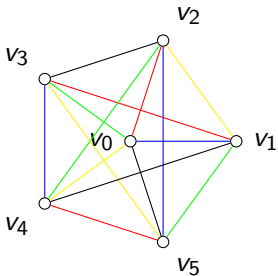
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- ▶ $\mathcal{G}_n(I_a, \mathbf{A})$: player \forall wins.
- ▶ $\mathcal{QG}_n(I_a, \mathbf{A})$: player \exists wins!

Representing the non-associative

- ▶ Consider (again) the algebra \mathbf{C}_5 on 6 atoms $1'$ (identity), a_1 (blue), a_2 (red), a_3 (green), a_4 (yellow), a_5 (black) such that all and only trichromatic triangles are consistent.
- ▶ Let us play $\mathcal{QG}_n(I_a, \mathbf{B})$.
- ▶ \exists wins, taking hints from this:



Is everything qualitatively representable?

- ▶ Now, consider the algebra \mathbf{C}_4 on 5 atoms $1'$ (identity), a_1 (blue), a_2 (red), a_3 (green), a_4 (yellow) such that all and only trichromatic triangles are consistent.
- ▶ Let us play $\mathcal{QG}_n(I_a, \mathbf{C})$.

Theorem (Al-Juaid, Jackson, Koussas, TK)

The algebra \mathcal{C}_n on $n + 1$ atoms is qualitatively representable if and only if n is odd.

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Monk/Ramsey algebras

For $n \geq 2$ let $k(n) = R(\overbrace{3, \dots, 3}^{n \text{ times}})$, that is, $k(n)$ is a Ramsey number for n -colouring with no monochromatic triangles. Let \mathbf{M}_n be the algebra on the following set of atoms

$$\{1'\} \cup \{a_1^k : k < \frac{k(n)(k(n)-1)}{2}\} \cup \{a_i : 1 < i \leq n\}$$

which are all self-converse. Forbidden triples:

- ▶ $(1', x, y)$ for $x \neq y$ (and Peircean transforms of these).
- ▶ Triples of atoms with the same subscript, that is, (a_i, a_i, a_i) for $1 < i \leq n$, and $(a_1^k, a_1^{k'}, a_1^{k''})$ for $k, k', k'' < \frac{k(n)(k(n)-1)}{2}$.
- ▶ The atoms a_1^k are best thought of as k distinct shades of the colour a_1 . So \mathbf{M}_n could be called a **shaded Ramsey algebra**.

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\mathbf{M}_n has no qualitative representation

Lemma

The algebra \mathbf{M}_n is not qualitatively representable (is not in QRA), for any $n \geq 2$.

Proof.

- ▶ Any qualitative representation witnessing all consistent triples of atoms is a complete graph with at least $\frac{k(n)(k(n)-1)}{2}$ distinct edges: one for each atom a_1^k , and one for each a_i with $i > 1$.
- ▶ Hence, it has at least $k(n)$ distinct points.
- ▶ But, by Ramsey Theorem, there is no n -colouring of a set with this many elements. □

Ultraproduct has a (strong) representation

Now consider a non-principal ultraproduct $\mathbf{M} = \prod_{n \in \omega} \mathbf{M}_n / U$ of the \mathbf{M}_n , where U is a non-principal ultrafilter over ω . The atoms of \mathbf{M} are, up to isomorphism:

$$\{1'\} \cup \{a_1^\beta : \beta < \kappa\} \cup \{a_\gamma : 1 < \gamma < \eta\}$$

where κ, η are infinite ordinals. In more detail:

- ▶ $a_1^\beta = \langle s_n : n \in \omega \rangle / U$ such that $\{n : s_n = a_1^k \text{ for some } k\} \in U$,
- ▶ $a_\gamma = \langle s_n : n \in \omega \rangle / U$ such that $\{n : s_n = a_i \text{ for some } i\} \in U$.

The forbidden triangles are exactly as in \mathbf{M}_n . Intuitively, we now have infinitely many colours, one of which comes in infinitely many shades.

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QRA is not finitely based

Lemma

The algebra \mathbf{M} is a representable relation algebra.

Proof.

- ▶ We use atomic representability game.
- ▶ At each stage s , a strict network N_s is constructed that uses only finitely many atoms.
- ▶ So, \exists can satisfy any consistent demand of \forall by extending N_s (if necessary) and completing the network N_{s+1} using as a label an atom not used in N_s . □

Theorem

The variety QRA is not finitely based. Indeed, QRA is not finitely axiomatised in FOL.

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Complexity of qualitative representability

Qualitative representability is NP-complete

Theorem (Jackson, Hirsch, TK)

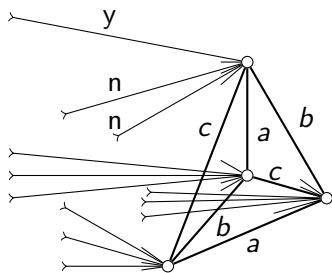
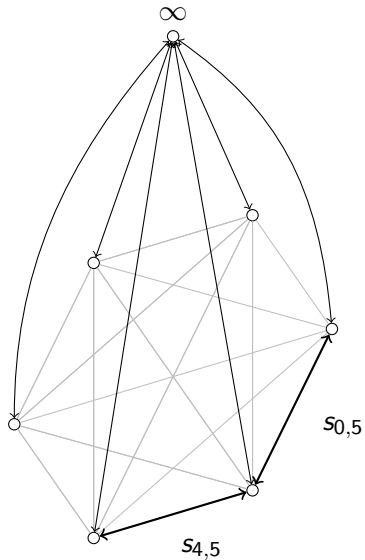
The problem of determining whether a finite NA has a qualitative representation is NP-complete.

This contrasts with the corresponding question for ordinary representations which Hirsch and Hodkinson proved to be undecidable.

Proof.

- ▶ The problem is in NP, because if a qualitative representation exists, then there exists a “small” one checkable in cubic time.
- ▶ For NP-hardness, we reduce the 3-colouring problem for finite graphs. More precisely, we encode 4-colouring problem for a certain expansion of any finite graph G . □

Encoding: a picture first



Encoding: basic setup

- ▶ A finite digraph $G = (V, \mathcal{E})$ with vertices V and edges \mathcal{E} .
- ▶ Adjoin three new points \top , $*$ and \circ to V such that \top is adjacent to all vertices of V and $*$, \circ are isolated. This is to make sure that:
 - ▶ If there is a 4-colouring, then it must use all 4 colours.
 - ▶ There is a non-edge between vertices coloured the same.
 - ▶ There is a non-edge between vertices coloured differently.
 - ▶ There is an independent 3-element set.
- ▶ Call the expanded graph $G^\infty = (V^\infty, \mathcal{E}^\infty)$.
- ▶ Let g be a symbol not appearing in V^∞ . Define a non-associative atom structure (S, I, \checkmark, C) as follows:

$$S = \{1', ab, ac, ad, bc, bd, cd, y, y', n, n'\}$$

$$\cup \{s_{uv} : (u, v) \in \mathcal{E}^\infty\} \cup \{g\}$$

- ▶ The identity is an atom: $I = 1'$.
- ▶ Converses are: $s_{uv} \checkmark = s_{vu}$ and $y \checkmark = y'$, $n \checkmark = n'$; all other atoms are self-converse.

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 - ▶ There is an independent 3-element set.
- ▶ Call the expanded graph $G^\infty = (V^\infty, \mathcal{E}^\infty)$.
- ▶ Let g be a symbol not appearing in V^∞ . Define a non-associative atom structure (S, I, \checkmark, C) as follows:

$$S = \{1', ab, ac, ad, bc, bd, cd, y, y', n, n'\}$$

$$\cup \{s_{uv} : (u, v) \in \mathcal{E}^\infty\} \cup \{g\}$$

- ▶ The identity is an atom: $I = 1'$.
- ▶ Converses are: $s_{uv} \checkmark = s_{vu}$ and $y \checkmark = y'$, $n \checkmark = n'$; all other atoms are self-converse.

Encoding: consistent triangles

All Peircean transforms of the following:

- ▶ $(1', x, x)$ for every $x \in S$ (*this says all edges are symmetric*)
- ▶ (i, j, k) for $i, j, k \in \{ab, ac, ad, bc, bd, cd\}$ and $|\{i, j, k\}| = 3$ and where i, j, k involve exactly three letters from $\{a, b, c, d\}$ (*this encodes a complete graph for 4-colouring G^∞*).
- ▶ (s_{uv}, s_{vw}, s_{uw}) whenever $(u, v), (v, w), (u, w) \in \mathcal{E}^\infty$ (*this encodes all triangles appearing in G^∞*)
- ▶ (s_{uv}, s_{vw}, g) when $(u, v), (v, w) \in \mathcal{E}^\infty$ but $(u, w) \notin \mathcal{E}^\infty \cup \{=\}$ (*this encodes all triangles consisting of two edges and one non-edge*)
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- ▶ (g, g, g) (*this encodes a triangle of three non edges, which exists because there is an independent 3-element set*)
- ▶ (α, y', n) , (α, n', y) and (α, n', n) for $\alpha \in \{ab, ac, ad, bc, bd, cd\}$ (*this encodes the colouring mechanism*)
- ▶ (s_{uv}, y, n') , (s_{uv}, n, y') , (s_{uv}, n, n') for $(u, v) \in \mathcal{E}^\infty$ (*this encodes the definition of a successful colouring*)
- ▶ (g, y, y') , (g, y, n') , (g, n, y') and (g, n, n') (*this encodes the possible colourings across non edges*).

Fact

The reduction maps $(V^\infty, \mathcal{E}^\infty)$ to the complex algebra of the atom structure (S, I, \vee, C) .

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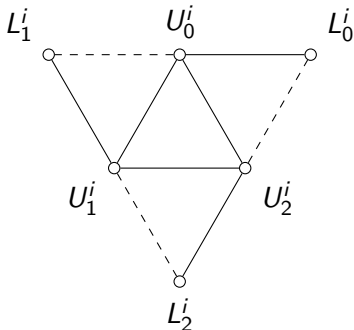
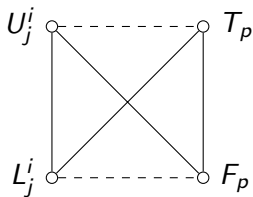
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Complexity of qualitative
network satisfiability is very
often NP-complete

Encoding NAE3SAT

- ▶ Let us call a QRA **A special**, if **A** has a relation a somewhat resembling \leq , and a relation $\#$ somewhat resembling incomparability.
- ▶ Given an instance of NAE3SAT, we associate (partial) networks below with a position in a clause and a literal (left), and with a clause (right).



NP completeness

Theorem

Let \mathbf{A} be a special algebra. If the configuration of Picture 1 embeds into a qualitative representation of \mathbf{A} , then network satisfaction problem for any fragment of \mathbf{A} containing relations \leq and $\#$ is NP-complete.

